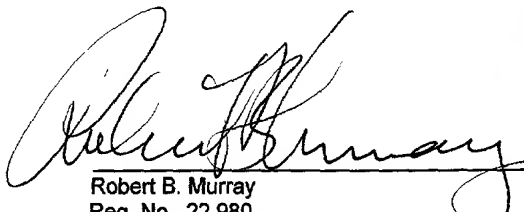


60 Rec'd PCT/PTO 12 APR 1999

FORM PTO-1390 (REV 5-93)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY DOCKET NO. P564-9008
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371			DATE: April 12, 1999
			U.S. APPLN. NO. (IF KNOWN, SEE 37 CFR 1.5) 09/284233
INTERNATIONAL APPLICATION NO. PCT/EP97/04744	INTERNATIONAL FILING DATE 1 September 1997	PRIORITY DATE CLAIMED 11 October 1996	
TITLE OF INVENTION: HELICOBACTER PYLORI LIVE VACCINE			
APPLICANT(S) FOR DO/EO/US: Thomas F. MEYER, Rainer HAAS, Yan ZHENGXIN, Oscar GOMEZ-DUARTE, Bernadette LUCAS			
<p>1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. (THE BASIC FILING FEE IS ATTACHED)</p> <p>2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.</p> <p>3. <input checked="" type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT articles 22 and 39(1).</p> <p>4. <input checked="" type="checkbox"/> A proper demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.</p> <p>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))</p> <p>a. <input checked="" type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau).</p> <p>b. <input checked="" type="checkbox"/> has been transmitted by the International Bureau.</p> <p>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US)</p> <p>6. <input type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)).</p> <p>7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))</p> <p>a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau).</p> <p>b. <input type="checkbox"/> have been transmitted by the International Bureau.</p> <p>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</p> <p>d. <input type="checkbox"/> have not been made and will not be made.</p> <p>8. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</p> <p>9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</p> <p>10. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p> <p>Items 11. to 16. below concern other document(s) or information included:</p> <p>11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</p> <p>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</p> <p>13. <input checked="" type="checkbox"/> A FIRST preliminary amendment. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment.</p> <p>14. <input type="checkbox"/> A substitute specification.</p> <p>15. <input type="checkbox"/> A change of power of attorney and/or address letter.</p> <p>16. <input checked="" type="checkbox"/> Other items or information: PCT/ISA/210, PCT/IPEA/416, PCT/IPEA/409, Small Entity Statement CHECK NO. 19260 Drawings - 6 sheets</p>			

U.S. APPLN. NO. (IF KNOWN, SEE 37 C.F.R. 1.50)	INTERNATIONAL APPLICATION NO.: PCT/EP97/04744	ATTORNEY DOCKET NO. P564-9008 DATE: April 12, 1999
17. <u>XX</u> The following fees are submitted: Basic National Fee (37 CFR 1.492(a)(1)-(5)): Search Report has been prepared by the EPO or JPO.....\$840.00 International preliminary examination fee paid to USPTO (37 CFR 1.482).....\$670.00 No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)).....\$760.00 Neither international preliminary examination fee (37 CFR 1.482) or international search fee (37 CFR 1.445(a)(2)) paid to USPTO.....\$970.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4).....\$ 96.00		CALCULATIONS PTO USE ONLY
ENTER APPROPRIATE BASIC FEE AMOUNT =		\$840
Surcharge of \$130.00 for furnishing the oath or declaration later than _ 20 _ 30 months from the earliest claimed priority date (37 CFR 1.492(e)).		\$00
Claims	Number Filed	Number Extra
Total Claims	16 - 20 =	00
Independent Claims	02 - 3 =	00
Multiple dependent claim(s) (if applicable)		+ \$260.00
TOTAL OF ABOVE CALCULATIONS =		\$840
Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28).		\$420
SUBTOTAL =		\$420
Processing fee of \$130.00 for furnishing the English translation later the _ 20 _ 30 months from the earliest claimed priority date (37 CFR 1.492(f)).		\$00
TOTAL NATIONAL FEE =		\$420
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property		\$00
TOTAL FEES ENCLOSED =		\$420
		Amount to be refunded \$
		Charged \$
a. <u>XX</u> A check in the amount of \$420 to cover the above fees is enclosed. b. _ Please charge my Deposit Account No. <u>14-1060</u> in the amount of \$_____ to cover the above fees. A duplicate copy of this sheet is enclosed. c. <u>XX</u> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>14-1060</u> .		
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.		
SEND ALL CORRESPONDENCE TO: NIKAIIDO, MARMELESTEIN, MURRAY AND ORAM LLP Metropolitan Square 655 15th Street, N.W. Suite 330 - G Street Lobby Washington, D.C. 20005-5701 Telephone No. (202) 638-5000		
		 Robert B. Murray Reg. No. 22,980

Serial or Patent No. _____ Docket No.: _____

Filed or Issued: _____

To: _____

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) and 1.27(c) - SMALL BUSINESS CONCERN

I hereby declare that I am

- () the owner of the small business concern identified below:
(X) an official of the small business concern empowered to act on behalf
of the concern identified below:

NAME OF CONCERN Creatogen Biosciences GmbH

ADDRESS OF CONCERN Ulmer Straße 160a, D-86156 Augsburg, Germany

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 37 CFR 1.21.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled Helicobacter pylori live vaccine by Inventor(s) Thomas F. Meyer, Rainer Haas, Yan Zhengxin, Oscar Gomez-Duarte, Bernadette Lucas described in

- (X) the specification filed herewith
() application serial no. _____ filed _____
() patent no. _____, issued _____

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9(d) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e). NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

NAME _____

ADDRESS _____

() INDIVIDUAL () SMALL BUSINESS CONCERN () NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28 (b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING Dr. Ian P. Thrippleton

TITLE OF PERSON OTHER THAN OWNER Owner

ADDRESS OF PERSON SIGNING Ulmer Straße 160a, D-86156 Augsburg

Postfach 10 14 42, D-86156 Augsburg

Telephone (0821) 444 00-0

SIGNATURE [Signature] DATE March 30, 1997

Applicant or Patentee: _____ Attorney's
Serial or Patent No. _____ Docket No.:
Filed or Issued: _____
For: _____

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) and 1.27 (d) - NONPROFIT ORGANIZATION

I hereby declare that I am an official empowered to act on behalf of the
nonprofit organization identified below:

NAME OF ORGANIZATION Max-Planck-Gesellschaft zur Förderung der
ADDRESS OF ORGANIZATION Wissenschaften e.V.
Hofgartenstraße 2, D-80539 München, Germany

TYPE OF ORGANIZATION

- ☐ UNIVERSITY OR OTHER INSTITUTION OF HIGHER EDUCATION
☐ TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 USC 501(a) and 501 (c) (3))
☐ NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED STATES
OF AMERICA
(NAME OF STATE _____)
(CITATION OF STATUTE _____)
☒ WOULD QUALIFY AS TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE
(26 USC 501(a) AND 501(c) (3) IF LOCATED IN THE UNITED STATES OF AMERICA
☐ WOULD QUALIFY AS NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE
OF THE UNITED STATES OF AMERICA IF LOCATED IN THE UNITED STATES OF AMERICA
(NAME OF STATE _____)
(CITATION OF STATUTE _____)

I hereby declare that the nonprofit organization identified above qualifies as a nonprofit
organization as defined in 37 CFR 1.9(e) for purposes of paying reduced fees under section
41(a) and (b) of Title 35, United States Code with regard to the invention entitled
Helicobacter pylori live vaccine by inventor(s) Thomas F. MEYER,
Rainer HAAS, Yan ZHENGXIN, Oscar GOMEZ-DUARTE, Bernadette LUCAS described
in

- ☒ the specification filed herewith
☐ application serial no. _____, filed _____
☐ patent no. _____, issued _____

I hereby declare that rights under contract or law have been conveyed to and remain with
the nonprofit organization with regard to the above identified invention. If the rights
held by the nonprofit organization are not exclusive, each individual, concern or
organization having rights to the invention is listed below * and no rights to the
invention are held by any person, other than the inventor, who could not qualify as small
business concern under 37 CFR 1.9 (d) or by any concern which would not qualify as a small
business concern under 37 CFR 1.9 (d) or a nonprofit organization under 37 CFR 1.9(e). *
NOTE: Separate verified statements are required from each named person, concern or
organization having rights to the invention averring to their status as small entities.
(37 CFR 1.27)

NAME Creatogen Biosciences GmbH
ADDRESS Ulmer Straße 160a, D-86156 Augsburg, Germany
☐ INDIVIDUAL ☒ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

NAME _____
ADDRESS _____
☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change
in status resulting in loss of entitlement to small entity status prior to paying, or at
the time of paying, the earliest of the issue fee or any maintenance fee due after the
date on which status as a small entity is no longer appropriate (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all
statements made on information and belief are believed to be true; and further that these
statements were made with the knowledge that willful false statements and the like so made
are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the
United States Code, and that such willful false statements may jeopardize the validity of
the application, any patent issuing thereon, or any patent to which this verified
statement is directed.

NAME OF PERSON SIGNING Dr. Heinrich Kuhn
TITLE IN ORGANIZATION Head of patent department
ADDRESS OF PERSON SIGNING Hofgartenstr. 8, 80539 München

SIGNATURE _____

Dr. Heinrich Kuhn

09/284233

510 Rec'd PCT/PTO 12 APR 1999

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Thomas F. MEYER et al

Serial No.: Unknown

Filed: April 12, 1999

For: *HELICOBACTER PYLORI* LIVE VACCINE

PRELIMINARY AMENDMENT

Assistant Commissioner
for Patents
Washington, D.C. 20231

April 12, 1999

Sir:

Prior to calculation of the filing fee and prior to the examination of this application,
please amend the above-identified application as follows:

IN THE CLAIMS:

Please amend the claims as follows:

Claim 3, line 1, delete "or 2".

Claim 4, line 1, delete "any of claims 1-3" and insert therefor --claim 1--.

Claim 5, line 1, delete "any one of claims 1-3" and insert therefor --claim 1--.

Claim 6, line 1, delete "any one of claims 1-3 and 5" and insert therefor --claim 1--.

Claim 7, line 1, delete "any one of claims 1-6" and insert therefor --claim 1--.

Claim 10, line 1, delete "any one of claims 1-9" and insert therefor --claim 1--.

Claim 11, lines 1 and 2, delete "any one of claims 1-10" and insert therefor
--claim 1--.

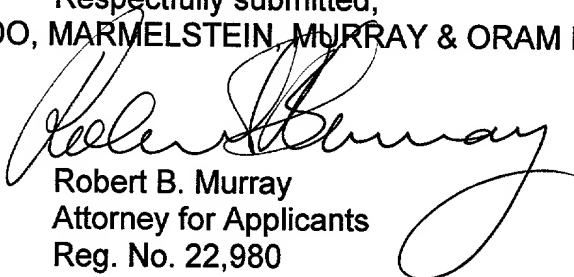
Claim 13, lines 2 and 3, delete "any one of claims 1-10" and insert therefor

REMARKS

The above amendment to the claims has been made to correct the multiple dependency of the claims and to put the application in better condition for examination.

In the event that any fees are due in connection with this paper, please charge our Deposit Account No. 14-1060.

Respectfully submitted,
NIKAIDO, MARMELESTEIN, MURRAY & ORAM LLP


Robert B. Murray
Attorney for Applicants
Reg. No. 22,980

Atty. Docket No.: P564-9008

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12 APR 1999

WO 98/16552

PCT/EP97/04744

Helicobacter pylori live vaccine

Specification

5

The present invention relates to novel recombinant live vaccines, which provide protective immunity against an infection by *Helicobacter pylori* and a method of screening *H. pylori* antigens for optimized vaccines.

10

Helicobacter is a gram-negative bacterial pathogen associated with the development of gastritis, peptic ulceration and gastric carcinoma. Several *Helicobacter* species colonize the stomach, most notably *H. pylori*, *H. heilmanii* and *H. felis*.

15 Although *H. pylori* is the species most commonly associated with human infection, *H. heilmanii* and *H. felis* also have been found to infect humans. High *H. pylori* infection rates are observed in third world countries, as well as in industrialized countries. Among all the virulence factors described in *H.*
20 *pylori*, urease is known to be essential for colonisation of gnotobiotic pigs and nude mice. Urease is an enzyme composed of two structural subunits (UreA and UreB). Previous studies have indicated that oral immunization using recombinant UreB plus cholera toxin were able to protect mice from gastric colonisa-
25 tion with *H. felis* and *H. pylori* (Michetti et al., Gastroenterology 107 (1994), 1002-1011). By oral administration of recombinant UreB antigens, however, in several cases only an incomplete protection can be obtained. Other *H. pylori* antigens shown to give partial protection are the 87 kD vacuolar
30 cytotoxin VacA (Cover and Blaser, J. Biol. Chem. 267 (1992), 10570; Marchetti et al., Science 267 (1995), 1655) and the 13 and 58 kD heat shock proteins HspA and HspB (Ferrero et al., Proc. Natl. Acad. Sci. USA 92 (1995), 6499).

35 Attenuated pathogens, e.g. bacteria, such as *Salmonella*, are known to be efficient live vaccines. The first indications of the efficacy of attenuated *Salmonella* as good vaccine in hu-

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mans came from studies using a chemically mutagenized *Salmonella typhi* Ty21a strain (Germanier and Furer, J. Infect. Dis. 141 (1975), 553-558), tested successfully in adult volunteers (Gilman et al., J. Infect. Dis. 136 (1977), 717-723) and later on in children in a large field trial in Egypt (Whadan et al., J. Infect. Dis. 145 (1982), 292-295). The orally administered Ty21a vaccine was able to protect 96% of the Egyptian children vaccinated during three years of surveillance. Since that time new attenuated *Salmonella* live vector vaccines have developed (Hone et al., Vaccine 9 (1991), 810-816), in which well defined mutations incorporated into the chromosome gave rise to non-virulent strains able to induce strong immune responses after oral administration (Tacket et al., Vaccine 10 (1992), 443-446 and Tacket et al., Infect. Immun. 60 (1992), 536-541). Other advantages of the live attenuated *Salmonella* vaccine include its safety, easy administration, long-time protection and no adverse reactions in comparison with the former inactivated whole-cell typhoid vaccines (Levine et al., Typhoid Fever Vaccines. In: Plotkin S.A., Mortimer E.A. Jr. (eds.) Vaccines. Philadelphia: WB Saunders (1988), 333-361).

Mutants of *S. typhimurium* have been extensively used to deliver antigens because of the possibility to use mice as an animal model, which is believed to mimic *S. typhi* infections in humans. The attenuation of *S. typhimurium* most commonly used consists in site directed mutagenesis of genes affecting the synthesis of aromatic amino acids. Such strains, designated aro mutants, have a negligible pathogenicity, as demonstrated in animal models and human trials using these constructs (Hoiseth and Stocker, Nature 291 (1981), 238-239; Tacket et al. (1992), Supra). Advantage has been taken from the potent immunogenicity of live *Salmonella* vaccine to deliver heterologous antigens. Expression of specific antigens in attenuated *Salmonella* has conferred murine protection against several bacterial pathogens. The use of recombinant live vaccines, which are capable of expressing *Helicobacter* antigens and protecting the vaccinated animals, has not yet been described.

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The use of attenuated live vaccines for the treatment of a Helicobacter infection has also not been rendered obvious. The reason therefor being that in the course of the Helicobacter infection a strong immune response against the pathogen per se is induced, which, however, does not lead to a protective immunity. Thus, it was highly surprising that a protective immune response is achieved when using recombinant attenuated bacterial cells as antigen carriers, which are capable of expressing a DNA molecule encoding a Helicobacter antigen.

10 Apparently, recombinant attenuated bacterial cells expressing a Helicobacter antigen are capable of creating a qualitatively different immune response against the heterologous Helicobacter antigen than Helicobacter itself does against its own homologous antigen. Surprisingly, a non-protective immune

15 response is thus transformed into an immune response protecting against Helicobacter infections. This unexpected observation renders it possible to use recombinant attenuated pathogens, e.g. bacterial cells, particularly Salmonella, as carriers for the screening of protective antigens, to apply the

20 protective antigens identified in this manner in any vaccine against Helicobacter infections, and to use recombinant attenuated bacteria as carriers of protective antigens for the immunization against Helicobacter infections in humans and other mammals.

25

Thus, a subject matter of the present invention is a recombinant attenuated pathogen, which comprises at least one heterologous nucleic acid molecule encoding a Helicobacter antigen, wherein said pathogen is capable to express said nucleic acid

30 molecule or capable to cause the expression of said nucleic acid in a target cell. Preferably the nucleic acid molecule is a DNA molecule.

The attenuated pathogen is a microorganism strain which is

35 able to cause infection and preferably effective immunological protection against the actual pathogen but is no longer pathogenic per se. The attenuated pathogen can be a bacterium, a

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virus, a fungus or a parasite. Preferably it is a bacterium, e.g. *Salmonella*, such as *S. typhimurium* or *S. typhi*, *Vibrio cholerae* (Mekalanos et al., *Nature* 306 (1983), 551-557), *Shigella* Species such as *S. flexneri* (Sizemore et al., *Science* 270 (1995), 299-302; Mounier et al., *EMBO J.* 11 (1992), 1991-1999), *Listeria* such as *L. monocytogenes* (Milon and Cossart, *Trends in Microbiology* 3 (1995), 451-453), *Escherichia coli*, *Streptococcus*, such as *S. gordonii* (Medaglini et al., *Proc. Natl. Acad. Sci. USA* 92 (1995) 6868-6872) or *Mycobacterium*,
10 such as *Bacille Calmette Guerin* (Flynn, *Cell. Mol. Biol.* 40 Suppl. 1 (1994), 31-36). More preferably the pathogen is an attenuated enterobacterium such as *Vibrio cholerae*, *Shigella flexneri*, *Escherichia coli* or *Salmonella*. Most preferably the attenuated pathogen is a *Salmonella* cell, e.g. a *Salmonella*
15 *aro* mutant cell. The attenuated pathogen, however, can be a virus, e.g. an attenuated *vaccinia* virus, *adenovirus* or *pox* virus.

The nucleic acid molecule which is inserted into the pathogen
20 codes for a *Helicobacter* antigen, preferably a *H. felis*, *H. heilmanii* or *H. pylori* antigen, more preferably a *H. pylori* antigen. The *Helicobacter* antigen can be a native *Helicobacter* polypeptide, an immunologically reactive fragment thereof, or an immunologically reactive variant of a native polypeptide or
25 of a fragment thereof. Further, the *Helicobacter* antigen can be a protective carbohydrate or a peptide mimotope simulating the three-dimensional structure of a native *Helicobacter* antigen. Peptide mimotopes can be obtained from peptide libraries presented on the surface of bacterial cells (cf. PCT/EP96/
30 01130). Of course, the transformed cell can also contain several DNA molecules coding for different *Helicobacter* antigens.

The nucleic acid molecules coding for *Helicobacter* antigens may
35 be located on an extrachromosomal vector, e.g. a plasmid, and/or integrated in the cellular chromosome of the pathogen. When the pathogen is used as a vaccine, chromosomal integra-

- 5 -

tion usually is preferred.

Attenuated bacteria can be used to transcribe and translate said nucleic acid molecule directly in the bacterial cell or
5 to deliver said nucleic acid molecule to the infected target cell, such that the DNA molecule is transcribed and/or translated by the eukaryotic target cell machinery. This indirect bacterial vaccination procedure, termed here as genetic vaccination, has been successfully used with *Shigella* as a carrier
10 (*Sizemore, D. R., Branstrom, A. A. & Sadoff, J. C. (1995) Attenuated Shigella as a DNA delivery vehicle for DNA-mediated immunization. Science 270:299-302).*

In a preferred embodiment of the present invention the *Helicobacter* antigen is urease, a urease subunit or an immunologically reactive variant or fragment thereof or a peptide mimotope thereof. In a further preferred embodiment of the present invention the *Helicobacter* antigen is a secretory polypeptide from *Helicobacter*, an immunologically reactive
20 variant or fragment thereof or a peptide mimotope thereof. A process for identifying *Helicobacter* genes coding for such secretory polypeptides, and particularly for adhesins, has been disclosed in the international patent application PCT/EP96/02544, which is incorporated herein by reference.

25 This process comprises

- a) preparing a gene bank of *H. pylori* DNA in a host organism containing an inducible transposon coupled to a marker of secretory activity,
- b) inducing the insertion of the transposon into the *H.*
30 *pylori* DNA and
- c) conducting a selection for clones containing a secretory gene by means of the marker, and optionally further
- d) conducting a retransformation of *H. pylori* by means of the DNA of clones containing genes having secretory activity,
35 wherein isogenic *H. pylori* mutant strains are produced by means of integrating the DNA into the chromosome, and

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- e) conducting a selection detecting adherence-deficient *H. pylori* mutant strains.

Suitable examples of antigens obtainable by the above process
5 are selected from the group consisting of the antigens AlpA, AlpB, immunologically reactive variants or fragments thereof or peptide mimotopes thereof. The nucleic and amino acid sequences of the antigens AlpA and AlpB have been disclosed in the international patent applications PCT/EP96/02545 and PCT/
10 EP96/04124, which are incorporated herein by reference. Further, the nucleic and amino acid sequences of AlpB are shown in SEQ ID NO. 1 and 2, and the nucleic and amino acid sequences of AlpA in SEQ ID NO. 3 and 4.

- 15 It is also conceivable, however, that an intracellular antigen is used which can be presented on the surface, e.g. by autolytic release, and confers immunological protection.

The presentation of the *Helicobacter* antigens in the recombi-
20 nant pathogen according to the invention can be accomplished in different ways. The antigen or the antigens can be synthesized in a constitutive, inducible or phase variable manner in the recombinant pathogen. Concerning the constitutive or inducible synthesis of the *Helicobacter* antigens known expres-
25 sion systems can be referred to, as have been described by Sambrook et al., Molecular Cloning, A Laboratory Manual (1989), Cold Spring Harbor Laboratory Press.

Particularly preferred the antigens are presented in a phase
30 variable expression system. Such a phase variable expression system for the production and presentation of foreign antigens in hybrid live vaccines is disclosed in EP-B-0 565 548, which is herein incorporated by reference. In such a phase variable expression system the nucleic acid molecule encoding the *He-*
35 *licobacter* antigen is under control of an expression signal, which is substantially inactive in the pathogen, and which is capable of being activated by a spontaneous reorganization

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caused by a nucleic acid, e.g. DNA reorganization mechanism in the pathogen, e.g. a specific DNA inversion process, a specific DNA deletion process, a specific DNA replication process or a specific slipped-strand-mispairing mechanism.

5

A recombinant cell having a phase variable expression system is capable of forming two subpopulations A and B, wherein the division into said subpopulations occurs by spontaneous reorganization in the recombinant nucleic acid, wherein said sub-
10 population A is capable of infection and immunologically active per se, while subpopulation B, which is regenerated from subpopulation A, produces at least one heterologous Helicobacter antigen and acts immunologically with respect to said additional antigen.

15

The activation of the expression signal encoding the Helicobacter antigen can be directly accomplished by nucleic acid reorganization or, alternatively, indirectly accomplished by activation of a gene encoding a protein which controls the
20 expression of the gene encoding the Helicobacter antigen. The indirect activation represents a system which allows the production of the Helicobacter antigen via a cascade system, which can be realized e.g. in that the gene directly controlled by DNA reorganization codes for an RNA polymerase which is
25 specific for the promoter preceding the Helicobacter gene, or a gene regulator which in another specific manner induces the expression of the Helicobacter gene. In an especially preferred embodiment of the present invention the expression signal for the gene encoding the Helicobacter antigen is a bacterio-
30 phage promoter, e.g. a T3, T7 or SP6 promoter, and the activation of the expression signal is caused by a nucleic acid reorganization resulting in the production of a corresponding bacteriophage RNA polymerase in the pathogen.

35 The phase variable expression system can be adjusted to provide a preselected expression level of the Helicobacter antigen. This can be accomplished e.g. by modifying the nucleotide

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sequence of the expression signal, which is activated by the nucleic acid reorganization mechanism, and/or by inserting further genetic regulation elements.

5 The Helicobacter antigens can be produced in an intracellular, as well as in an extracellular manner in the pathogen according to the invention. For instance, autotransporter systems such as the IgA-protease system (cf. for instance EP-A-0 254 090) or the E. coli AIDA-1 adhesin system (Benz et al., Mol. Microbiol. 6 (1992), 1539) are suited as extracellular secre-
10 tory system. Other suitable outer membrane transporter systems are the RTX-toxin transporters, e.g. the E. coli hemolysin transport system (Hess et al., Proc. Natl. Acad. Sci. USA 93 (1996), 11458-11463).

15

The pathogen according to the invention can contain a second heterologous nucleic acid, e.g. DNA molecule, which codes for an immunomodulatory polypeptide influencing the immune re-
20 sponse quantitatively or qualitatively, apart from the nucleic acid molecule encoding the Helicobacter antigen. Examples of such immunomodulatory polypeptides are immune-stimulating peptides, cytokines like IL-2, IL-6 or IL-12, chemokines, toxins, such as cholera toxin B or adhesins.

25 The present invention also refers to a pharmaceutical composition comprising as an active agent a recombinant attenuated pathogen as described above, optionally together with pharmaceutically acceptable diluents, carriers and adjuvants. Preferably, the composition is a living vaccine. The vaccination
30 routes depend upon the choice of the vaccination vector. The administration may be achieved in a single dose or repeated at intervals. The appropriate dosage depends on various parameters such as the vaccinal vector itself, or the route of administration. Usually the dosage comprises about 10^6 to 10^{12} cells
35 (CFU), preferably about 10^8 to 10^{10} cells (CFU) per vaccination. Administration to a mucosal surface (e.g. ocular, intranasal, oral, gastric, intestinal, rectal, vaginal or urinary tract)

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or via the parenteral route (e.g. subcutaneous, intradermal, intramuscular, intravenous or intraperitoneal) might be chosen. A method for the preparation of the living vaccine comprises formulating the attenuated pathogen in a pharmaceutically effective amount with pharmaceutically acceptable diluents, carriers and/or adjuvants.

The pharmaceutical composition may be provided in any suitable form, e.g. a suspension in suitable liquid carrier, such as water or milk, a capsule, a tablet etc. In a preferred embodiment of the present invention the composition is a lyophilized product which is suspended in a liquid carrier prior to use.

Further, the present invention refers to a method for preparing a recombinant attenuated pathogen as defined above, comprising the steps of a) inserting a nucleic acid molecule encoding a Helicobacter antigen into an attenuated pathogen, wherein the recombinant pathogen, e.g. a transformed bacterial cell, is obtained, which is capable of expressing said nucleic acid molecule or is capable to cause expression of said nucleic acid molecule in a target cell and b) cultivating said recombinant attenuated pathogen under suitable conditions. If the pathogen is a bacterial cell, the nucleic acid molecule encoding the Helicobacter antigen can be located on an extra-chromosomal plasmid. It is, however, also possible to insert the nucleic acid molecule into the chromosome of the pathogen.

Furthermore, the present invention refers to a method for identifying Helicobacter antigens which raise a protective immune response in a mammalian host, comprising the steps of: a) providing an expression gene bank of Helicobacter in an attenuated pathogen and b) screening the clones of the gene bank for the ability to confer a protective immunity against a Helicobacter infection in a mammalian host. Preferably, this identification process takes place in a phase variable expression system, rendering possible a stable expression of all of

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the *Helicobacter* antigens. Recombinant clones can then be applied as "pools" for the oral immunization of test animals, such as mice. The potential of these clones as protective antigen is then determined via a challenge infection with *Helicobacter*, e.g. a mouse-adapted *H. pylori* strain. Thus, there is a possibility of directly selecting optimized *H. pylori* vaccine antigens.

The invention will be further illustrated by the following figures and sequence listings.

Fig. 1: shows a schematic illustration of the urease expression vector pYZ97, whereon the genes coding for the urease subunits UreA and UreB are located under transcriptional control of the T7 promoter $\phi 10$. There is a ribosomal binding site (RBS) between the T7 promoter and the urease genes. Further, the plasmid exhibits an origin of replication (ori), a β -lactamase resistance gene (bla) and 4 T7 terminators in series.

Apart from the expression by the T7 promoter, a constitutive low level expression of the urease A and B subunits can also be brought about via a cryptic promoter, which is located upstream from the T7 promoter, on the plasmid pYZ97.

Fig. 2: shows the nucleotide sequence of the transcriptional regulation region for urease expression and the beginning of the amino acid sequence of urease subunit A on plasmid pYZ97.

Fig. 3: shows a schematic illustration of the T7 RNA polymerase (T7RNAP) expression cassettes pYZ88, pYZ84 and pYZ114, which can be integrated into the chromosomes of bacteria.

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In the high-expression cassette pYZ88 the lambda PL promoter is located in inverse orientation, upstream from the T7RNAP gene. A gene for the temperature-sensitive repressor cI 857 (cI) is under control of this promoter. A terminator of the bacteriophage fd (fdT) is situated upstream from the cI gene. The gin gene (Mertens, EMBO J. 3 (1984), 2415-2421) codes for a control enzyme of a DNA reorganization mechanism. A DNA sequence coding for the tRNA Arg is located downstream from the gin gene.

In phase A the PL promoter responsible for the expression of the T7RNAP gene is directed in the direction of the cI857 gene and the gin gene. The consequence of this is that an active repressor is formed at the permissive temperature of 28°C and reduces the transcription from the PL promoter. At a higher temperature the transcription of the PL promoter is increased, since the repressor is inactivated at least partially under such external influences. The temperature-dependent increase in the transcription also causes a corresponding increase in the expression of the following gin gene, which as a control enzyme catalyses the inversion of the PL promoter and the transition in phase B, in which the T7RNAP gene is expressed.

In the high-expression system pYZ88 a further fdT transcription terminator is located between a kanamycin-resistance gene (km) and the promoter of this gene. In this manner, the synthesis of an anti-sense RNA, inversely orientated to the T7RNAP gene, which normally contributes to the reduction of the T7RNAP expression, is reduced. This results in a high expression of the T7RNAP.

In the medium-expression system pYZ84 a transcrip-

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tion terminator (fdT) is located between the PL promoter and the start of the T7RNAP gene. In this manner the expression of the T7RNAP mRNA is reduced. Additionally, the anti-sense RNA affects the T7RNAP translation. Therefore, only a medium expression occurs.

In the low-expression system pYZ114 a deletion of 100 bp in PL is additionally introduced (Δ PL). In this manner the activity of the PL promoter is reduced to a high extent, which leads to a lower T7RNAP expression and thus to a reduction of the UreA/B gene expression. In this construct the effect of the cryptic promoter on pYZ97 is already observed.

Fig.4: shows the results of an ELISA for anti-H.pylori antibodies in intestinal fluids of vaccinated mice.

Fig.5: shows the results of an ELISA for anti-H.pylori antibodies in the serum of vaccinated mice.

Fig.6: shows the urease activity in the stomach tissue of vaccinated mice after H.pyroli challenge.

SEQ ID NO. 1 and 2 show the nucleotide sequence of the adhesin gene AlpB from H. pylori and the amino acid sequence of the polypeptide coded therefrom.

SEQ ID NO. 3 and 4 show the nucleotide sequence of the adhesin gene AlpA from H. pylori and the amino acid sequence of the protein coded therefrom.

SEQ ID NO. 5 and 6 show the nucleotide sequence of the transcriptional regulation region for urease expression and the beginning of the amino acid sequence of urease subunit A on plasmid pYZ97.

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Experimental partExample 15 Cloning of the ureA and ureB genes.

The structural genes encoding the urease, ureA and ureB, have been genetically cloned from chromosomal DNA of a clinical specimen P1 (formerly 69A) isolated at the University of Amsterdam and provided by Dr. Jos van Putten. The genes
10 were isolated by a PCR-approach using the primer pair YZ019 (5'-GGAATTCCATATGAACTGACTCCCAAAGAG-3') and RH132 (5'-CTGCAGTCGACTAGAAAATGCTAAAGAG-3') for amplification. The sequence of the primers was deduced from GenBank (accession numbers M60398, X57132). The DNA sequence of primer YZ019
15 covered the nucleotides 2659-2679 of the published sequence and further contained a translational regulatory sequence (down stream box; Sprengart, M. L. et al., 1990, Nuc. Acid. Res. 18:1719-1723) and a cleavage site for NdeI. The DNA sequence of primer RH132 covered the nucleotides 5071-5088 of
20 the published sequence and a cleavage site for SalI. The amplification product was 2.4 kbp in size comprising the complete coding region of ureA and ureB genes without the original transcriptional start and termination sequences from the *Helicobacter* chromosome. The purified PCR-fragment was
25 digested with NdeI and SalI and inserted into the corresponding cloning sites of T7 expression plasmid pYZ57 to yield the plasmid pYZ97.

pYZ57 was originally derived from plasmid pT7-7, which was described by Tabor (1990, In Current Protocols in Molecular
30 Biology, 16.2.1-16.2.11. Greene Publishing and Wiley-Interscience, New York). Two terminator fragments were introduced into the pT7-7 backbone at different sites by the following strategy: (1) The tandem T7 terminators. A 2.2 kbp EcoRI/HindIII fragment was excised from pEP12 (Brunschwig &
35 Darzins, 1992, Gene, 111:35-41) and the purified fragment ligated with predigested pBA (Mauer, J. et al., 1997, J. Bacteriol. 179:794-804). The ligation product was digested

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with *HindIII* and *ClaI*. The resulting 2.2 kbp *HindIII/ClaI* fragment was subsequently inserted into predigested pT7-7. (2) The T1 terminator. A 230 bp *HpaI/NdeI*-fragment was excised from plasmid pDS3EcoRV (provided by Dr. H. Bujard; ZMBH, Heidelberg). The fragment was then further treated with Klenow to generate blunt ends. The purified *rrnBT1* fragment was inserted into the previous pT7-7 derivative, predigested with *BglIII* and subsequently bluntended by Klenow treatment. Figure 1 describes the completed vector pYZ97 used for the expression of the urease genes coding for urease subunits UreA and UreB in *S. typhimurium*. As indicated in Figure 1, the urease genes can be controlled by the T7 promoter $\phi 10$. The ribosome binding site (RBS) is located between the T7 promoter and the urease genes. Further, the plasmid exhibits an origin of replication (*ori*) and a β -lactamase resistance gene (*bla*).

Apart from the expression controlled by the T7 promoter, a constitutive moderate level expression of the urease A and B subunits does occur from a promoter driven by *Salmonella* RNA polymerase. The promoter is located upstream from the T7 promoter, on the plasmid pYZ97. For detailed molecular analysis, the purified *BglIII/HindIII*-fragment of pYZ97 was subcloned into the pCR-Script™ SK(+)kit (Stratagene) and subjected to DNA-sequencing. The sequence data confirmed the various elements in their completeness (see Figure 2 and SEQ ID NO.5 and 6): part of the *ureA* gene, the down-stream box, the RBS, the T7 promoter and the T1 terminator (*rrnBT1*). The sequence analysis also disclosed the region between the T1 terminator region and the T7 promoter where the *Salmonella* RNA polymerase promoter is localised. The sequence data suggests a location of this constitutive promoter between nucleotides 222 - 245 which have been deduced from structural predictions (Lisser & Margalit, 1993, Nuc. Acid. Res. 21:1507-1516).

Example 2

Immunological protection by administration of live vaccine

Materials and Methods

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Bacterial strains: *S. typhimurium* SL3261 live vector vaccine strain was used as a recipient for the recombinant *H. pylori* urease plasmid constructs. *S. typhimurium* SL3261 is an *aroA* transposon mutant derived from *S. typhimurium* SL1344 wild type strain. *S. typhimurium* SL3261 is a non-virulent strain that gives protection to mice against infection with wild type *S. typhimurium* after oral administration (Hoiseth and Stocker (1981) Supra). *S. typhimurium* SL3261 and derivatives thereof, which contain the urease expression plasmid pYZ97 (extrachromosomal) and the T7RNAP expression cassettes pYZ88, pYZ84 or pYZ114, respectively (integrated into the chromosome) are indicated in table 1. Luria broth or agar was used for bacterial growth at 28°C. *H. pylori* wild type strain grown at 37°C on serum plates was used for the challenge experiments.

Immunization of mice: Four weeks Balb/c mice purchased from Interfauna (Tuttlingen, Germany) were adapted two weeks in an animal facility before being used for experimentation. 150 µl of blood was taken retroorbitally from all mice to obtain preimmune serum. Retroorbital bleedings were repeated from all immunized mice 1 week and 3 weeks after immunization.

Eight groups of 5 mice including controls were used in this study (table 2). Group A, the naive control group, was not immunized with *Salmonella* neither challenged with wild type *H. pylori*. The rest of the groups were all orally immunized. Group B, a negative control group, did not receive *Salmonella* and was challenged with *H. pylori*. Mice from groups C to G were immunized with *Salmonella* vaccine strains and challenged with *H. pylori*. The last group H received recombinant urease B in combination with cholera toxin and was also challenged.

Prior to immunizations mice were left overnight without solid food and 4 hours without water. 100 µl of 3% sodium bicarbonate were given orally using a stainless steel catheter tube to neutralize the stomach pH. Then mice from group B received 100 µl PBS and mice from groups C to G received 1.0×10^{10} CFU

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of Salmonella in a 100 μ l volume. Mice from group H received four times 100 μ l of a mixture of recombinant H. pylori UreaseB plus cholera toxin, one dose every week. After every immunization water and food were returned to the mice.

5

H. pylori challenge: Four weeks after the first oral immunization mice from groups B to H were challenged with H.pylori. Mice were left overnight without solid food and without water 4 hours prior to the challenge. 100 μ l of 3% sodium bicarbonate were given orally to the mice using a stainless steel catheter tube, followed by an oral dose of 5.0×10^9 CFU/ml of Helicobacter pylori. Water and food were returned to the mice after the challenge.

15 Collection of blood and tissues from mice: Twelve weeks after the first immunization the mice were left overnight without food and subsequently sacrificed for analysis of protection and immune response. The mice were anaesthetized with Metoxyfluorane for terminal cardiac bleeding and prior to sacrifice by cervical dislocation. Under aseptic conditions, spleen and stomach were carefully removed from each mouse and placed on ice in separate sterile containers until further processing. Large and small intestine were obtained for further isolation of the intestinal fluid.

25

Processing of stomach and measurement of urease activity: The degree of H. pylori colonisation in the mouse stomach was measured by the presence of active urease in the tissue. The Jatrox-test (Röhm-Pharma GmbH, Weiterstadt, Germany) was used according to the suppliers' directions. Stomach mucosa was exposed and washed with PBS, half of the antral portion of the stomach was immediately placed inside an Eppendorf tube containing the substrate for measurement of urease activity. Absorbance at 550 nm was measured after tubes were incubated for 4 hours at room temperature. The rest of the stomach tissue was stored at -20°C for further treatments. The urease activity values obtained from the stomach of naive mice, which

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did not undergo immunization or challenge, were used to create a base line to indicate the absence of *H. pylori* infection and therefore protection.

5

Table 1UreA and UreB expressing *S. typhimurium* vaccine strains

	Strains	Urease Expression	Source
10	<i>S. typhimurium</i> SL3261	Negative	Hoiseth and Stocker
	<i>S. typhimurium</i> SL3262 pYZ97	Constitutive Low	this study
	<i>S. typhimurium</i> SL3261::pYZ88pYZ97	High T7-induced expression	this study
15	<i>S. typhimurium</i> SL3261::pYZ84pYZ97	Medium T7-induced expression	this study
	<i>S. typhimurium</i> SL3261::pYZ114pYZ97	Low T7-induced expression	this study

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Table 2

Mice groups used for immunization

Group	Immunogen	No. of oral immunizations
A	None	0
B	PBS oral immunization	1
C	<i>S. typhimurium</i> S3261	1
D	<i>S. typhimurium</i> S3261 pYZ97	1
F	<i>S. typhimurium</i> S3261::pYZ88pYZ97	1
F	<i>S. typhimurium</i> S3261::pYZ84pYZ97	1
G	<i>S. typhimurium</i> S3261::pYZ114pYZ97	1
H	Urease B plus cholera toxin	4

15 Results:

In the control mice (groups B and C) 100% infection with *H. pylori* was observed. In the mice immunized with recombinant attenuated pathogens (groups D, E, F, G) between 0% and 60% infection (100% to 40% protection) was observed. Immuno-protection did not correlate with humoral anti-UreA and UreB response, suggesting that, in addition to humoral immunity, cellular immunity is critical for protection against *H. pylori* infection. The results indicate that oral immunization of mice with UreA and UreB delivered by *S. typhimurium* attenuated strain is effective to induce high levels of protection against *H. pylori* colonisation.

In the mice immunized with recombinant urease B plus cholera toxin considerably higher levels of urease activity were ob-

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served under said experimental conditions than when administering the recombinant attenuated pathogens according to the invention.

s The results of the urease test have been illustrated in table 3.

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Table 3

Group	Mouse	$E_{550nm, 4h}$	$E_{4h} - E_{control}$	$E_{corr.} * 3$	Dilution
A	1	0,085	-0,022	-0,066	200µl+400µl
A	2	0,091	-0,016	-0,048	200µl+400µl
A	3	0,116	0,009	0,027	200µl+400µl
A	4	0,099	-0,008	-0,024	200µl+400µl
A	5	0,101	-0,006	-0,018	200µl+400µl
Control		0,107	0	0	200µl+400µl
B	1	0,394	0,292	0,876	200µl+400µl
B	2	0,464	0,362	1,086	200µl+400µl
B	3	0,329	0,227	0,681	200µl+400µl
B	4	0,527	0,425	1,275	200µl+400µl
B	5	0,462	0,36	1,08	200µl+400µl
Control		0,102	0	0	200µl+400µl
C	1	0,248	0,145	0,435	200µl+400µl
C	2	0,369	0,266	0,798	200µl+400µl
C	3	0,209	0,106	0,318	200µl+400µl
C	4	0,219	0,116	0,348	200µl+400µl
C	5	0,24	0,137	0,411	200µl+400µl
Control		0,103	0	0	200µl+400µl
D	1	0,143	0,002	0,004	300µl+300µl
D	2	0,156	0,015	0,03	300µl+300µl
D	3	0,142	0,001	0,002	300µl+300µl
D	4	0,114	-0,027	-0,054	300µl+300µl
D	5	0,133	-0,008	-0,016	300µl+300µl
Control		0,141	0	0	300µl+300µl
E	1	0,127	0,027	0,081	200µl+400µl
E	2	0,094	-0,006	-0,018	200µl+400µl
E	3	0,099	-0,001	-0,003	200µl+400µl
E	4	0,161	0,061	0,183	200µl+400µl
E	5	0,198	0,098	0,294	200µl+400µl
Control		0,1	0	0	200µl+400µl
F	1	0,166	0,025	0,05	300µl+300µl
F	2	0,145	0,004	0,008	300µl+300µl
F	3	0,166	0,025	0,05	300µl+300µl
F	4	0,154	0,013	0,026	300µl+300µl
F	5	0,301	0,16	0,32	300µl+300µl
Control		0,141	0	0	300µl+300µl
G	1	0,084	-0,019	-0,057	200µl+400µl
G	2	0,087	-0,016	-0,048	200µl+400µl
G	3	0,269	0,166	0,498	200µl+400µl
G	4	0,085	-0,018	-0,054	200µl+400µl
G	5	0,092	-0,011	-0,033	200µl+400µl
Control		0,103	0	0	200µl+400µl
H	1	0,638	0,531	1,593	200µl+400µl
H	2	0,282	0,175	0,525	200µl+400µl
H	3	0,141	0,034	0,102	200µl+400µl
H	4	0,135	0,028	0,084	200µl+400µl
H	5	0,171	0,064	0,192	200µl+400µl
Control		0,107	0	0	200µl+400µl

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Example 3Construction and molecular analysis of various recombinant *S. typhimurium* strains expressing ureA/ureB subunits.5 Description of the *S. typhimurium* strains used for immunization experiments.

S. typhimurium SL3261(pYZ97) (construct A): *S. typhimurium* SL3261 live vaccine vector strain was used as a recipient for the recombinant urease plasmid construct pYZ97.

10 *S. typhimurium* SL3261::YZ Series (pYZ97) (construct B): These carrier strains are a derivative of *S. typhimurium* SL3261 which has been equipped with the T7 RNA polymerase (T7RNAP) expression cassettes schematically presented in Figure 3. These expression cassettes encode the gene for
15 T7RNAP which is expressed in a 2-phase modus (ON/OFF) as disclosed in a previous invention of Yan et al. ("Two phase system for the production and presentation of foreign antigens in hybrid live vaccines", PCT/EP91/02478). The cassette can be integrated into the chromosome of bacteria and provide the
20 cell in ON-position with optimal amount of T7RNAP for activation of T7RNAP-dependent expression plasmids such as pYZ97.

The principle of the YZ84 cassette is an invertible lambda PL promoter placed on a fragment that is inverted by
25 the phage Mu invertase Gin (Yan & Meyer, 1996, J. Biotechnol. 44:197-201). Dependent on the orientation of the PL promoter either the gin gene (OFF-position) or the T7RNAP gene (ON-position) is expressed. The following regulatory elements have been included in YZ84: (1) The temperature-sensitive cI_{ts} ,
30 lambda repressor (cI) which represses the PL promoter at 28°C and dissociates at 37°C. (2) The phage fd terminator (fdT) reduces expression of gin gene in order to achieve moderate inversion rates of the PL promoter on the invertible fragment.

The 2-phase expression system enables high expression
35 rates of foreign antigens, such as the urease subunits A and B. It is well known that high expression rates of foreign antigens reduce viability of *Salmonella* carrier thus

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diminishing immune response and consequently the protective potential. It was shown that the 2-phase system has a natural competence to improve survival of recombinant *Salmonella* which express large amounts of foreign antigen. In construct B, expression of the *ureA* and *ureB* genes is mainly under the control of the strong T7 promoter resulting in high production of the urease subunits. If the T7RNAP expression cassette is in OFF-position and no T7RNAP is present, the *ureA* and *ureB* genes are constitutively expressed in moderate range by the *Salmonella* promoter.

Analysis of *ureA/B* subunits produced by the various *S. typhimurium* strains used for immunization experiments.

Salmonella constructs A and B were first analyzed by SDS-polyacrylamide gels for expression of UreA and UreB. The recombinant strains were grown at 37°C in liquid Luria Broth supplemented with 100µg/ml Ampicillin starting from an over night culture. The bacteria were harvested at logarithmic growth phase by centrifugation and the cell pellet was resuspended in 10mM Tris-HCl and 10 mM EDTA, (pH 8.0) and cell-density adjusted to standard $A_{590}=1.0$ in all probes. The bacterial suspension was mixed with the same volume of SDS-sample buffer (Sambrook, J. et al. 1989. Molecular cloning: a laboratory manual. 2nd ed. Cold Spring Harbor Laboratory Press, Cold Spring Harbor, N.Y.) and boiled for 5 min. 20 µl of suspension were loaded onto two SDS-10 % polyacrylamide gels; one of the gels was stained with Coomassie blue stain and the other was electroblotted onto a nitrocellulose membrane and further processed for immunoblotting. The nitrocellulose membrane carrying the transferred proteins was blocked for 45 min at room temperature in 10 (v/w)% non-fat milk Tris-buffer-saline (TBS) (TrisHCl 100mM, NaCl 150mM, pH 7.2). After three washes in TBS-0,05 (v/v)% Tween-20, a 1:2000 dilution of rabbit anti-UreB antibody (AK 201) in 5 (w/v)% non-fat milk-TBS was added to the strip and incubated overnight at 4°C. Serum was obtained from rabbit immunised with recombinant urease B subunit purified via affinity chromatography. The membrane was washed three times for 10 min with 0,05 (v/v)%

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Tween-20 in PBS, and further incubated in 5 (w/v)% non-fat milk-TBS with goat anti-rabbit IgG antibody horse radish peroxidase conjugate for 45 min at room temperature. After three washes with 0.2 (v/v)% Tween-20 as above, the membrane
5 was developed using the ECL kit (Amersham, Germany) following the recommendations of the suppliers.

Construct A: Proteins of 67 kDa and 30 kDa were observed in the Coomassie stained gel of the whole cell lysate of construct A (*S. typhimurium* strain SL3261(pYZ97); these sizes
10 correlate very well with those of UreB and UreA, respectively. Such proteins were absent in the control lanes containing the *S. typhimurium* SL3261 strain. Immunoblot analysis of the same protein samples using a rabbit anti-UreB antibody confirmed the 67 kDa protein observed in the Coomassie stained gel as
15 UreB. Expression of *ureB* from *S. typhimurium* strain SL3261(pYZ97) was also examined at different phases of growth by incubating at 37°C for 2, 6 and 11 hours, respectively. Expression of *ureB* was observed in all phases of growth including in the stationary phase; although, higher expression
20 was observed at early phases of growth. The results obtained with strain SL3261(pYZ97) indicate that UreA and UreB proteins are non-toxic for *Salmonella* and can be expressed at 37°C at any phase of bacterial growth.

Construct B: Similar analysis were performed with
25 construct B. The comparison of both constructs in SDS-PAGE analysis reveals that construct B is the more efficient producer whilst construct A has moderate expression of *ureA* and *ureB*. In the course of bacterial growth of construct B, the expression of *ureA* and *ureB* is constantly high over a
30 longer time period even without antibiotic selection. This confirms the exceptional productivity of construct B in comparison to construct A.

In summary, our data indicate that UreA and UreB from *H. pylori* can be expressed in *S. typhimurium* without causing
35 adverse effects to the bacteria, and are, therefore, suitable for animal protection experiments when delivered by *Salmonella* carriers.

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Plasmid-stability

Plasmid stability is essential to assure stable expression of antigens coded by genes which have been cloned into such plasmids.

5 In vitro plasmid stability. The ampicillin resistance marker present on plasmid pYZ97 and absent in the plasmidless *S. typhimurium* strain SL3261 was used as an indicator of plasmid stability. *S. typhimurium* strain SL3261 was grown in LB liquid medium at 28°C for up to 100 generations as described
10 previously (Summers, D. K. and D. J. Sherrat. 1984. Cell. 36:1097-1103). Every ten generations, the number of ampicillin resistant CFU was determined from the total number of colony forming units (CFU) of *Salmonella* by plating equal number of bacterial dilutions on plain LB-agar plates and LB-agar plates
15 supplemented with 100 µg/ml ampicillin.

Plasmid stability in vivo. Plasmid stability in vivo was analyzed by examining total CFU and ampicillin resistant CFU from mice spleen, two and seven days after oral infection of mice with 5.0×10^9 CFU of *S. typhimurium* SL3261(pYZ97). Mice
20 were orally infected with *Salmonella* as described above. Two days and seven days after infection mice were sacrificed under metoxyfluorane anesthesia, and the spleen was removed aseptically for further processing. The spleen was dissected in small pieces in a petri dish, mixed with 1 ml ice-cold
25 ddH₂O, and passed several times through a 18 gauge needle to suspend the spleen cells. The cell suspension was then plated on LB-agar plates with or without 100 µg/ml ampicillin. Plates were incubated at 37°C overnight and colonies counted the next day.

30 Plasmid stability in vivo was analyzed after infecting mice with one oral dose of 5.0×10^9 CFU of *S. typhimurium* SL3261(pYZ97). Mice spleens were taken two and seven days after infection, and plated on LB-agar plates for examination of total CFU and ampicillin resistant CFU. 2.0×10^4 ampicillin
35 resistant CFU were isolated from the spleens after 48 h (Table 4). The CFU counts decreased to 56 at 7 days after immunization, but again, all were ampicillin resistant. The

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data indicate that plasmid pYZ97 is stable in *Salmonella* under in vitro and in vivo conditions and is suitable for the evaluation of urease subunits as protective antigens against mouse stomach colonization by *H. pylori*. The low recovery of *Salmonella* strain SL3261 seven days after infection confirms the attenuation of this strain which allows its safe use for delivery of urease into mice.

Table 4

Recovery of *S. typhimurium* SL3261pYZ97 strain from mouse spleens and evaluation of pYZ97 plasmid stability *in vivo*.

Time after infection	Total CFU ^a	Percentage of Amp ^r CFU ^b
2 days	2.0X10 ⁴	100
7 days	56	100

^a Number of CFU of *S. typhimurium* isolated on LB plates without antibiotics from the mouse spleens two and seven days after mice had been orally inoculated with 5.0X10⁹ CFU of *S. typhimurium* strain SL3261(pYZ97).

^b Percentage of ampicillin resistant CFU from the total No. of CFU isolated from mouse spleens.

Table 5

Examination of urease activity and streptomycin resistant *H. pylori* in stomach antrum from mice immunized with UreA and UreB-expressing *Salmonella*.

Mice group	No.	Urease activity ^a	CFU ^b
Naive Control Group	5	0.058 ± 0.004	0 ± 0
PBS Control Group	5	0.427 ± 0.059	2.7X10 ³ ± 1.0X10 ³
SL3261pYZ97 ^c	5	0.057 ± 0.006	62.6 ± 97.3

^a Urease activity is a mean value ± standard deviation.

^b Determination of CFU of the streptomycin resistant *H. pylori* P76 strain was carried out by plating a section of antrum stomach on serum plates supplemented with 200 µg/ml of streptomycin. *H. pylori* were recognized based on colony morphology, urease activity, and light microscopy examination. Values correspond to CFU ± standard deviation.

^c Mice immunized with *S. typhimurium* SL3261(pYZ97) expressing *ureA* and *ureB* from *H. pylori* as described in Materials and Methods.

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Example 4

Protection experiments with the various recombinant *S. typhimurium* strains expressing ureA/ureB subunits in *H. pylori* mouse model.

Description of the *Helicobacter pylori* strains used for the experiments

Urease-deficient *H. pylori* P11 strain is a derivative of P1, generated by transposon shuttle mutagenesis using the TnMax5 mini-transposon as disclosed in the invention of Haas et al. ("Verfahren zur Identifizierung sekretorischer Gene aus *Helicobacter pylori*"; PCT/EP96/02544). Insertion of TnMax5 has been mapped at the 3'-end of the ureA gene resulting in a defect expression of ureA and ureB due to transcriptional coupling of both genes.

Mouse-adapted *H. pylori* P49 strain was originally established by Dr. J. G. Fox (MIT, Boston, MA) from a feline isolate. *H. pylori* P76 strain is a streptomycin-resistant derivative of P49 generated by homologous recombination with chromosomal DNA from streptomycin-resistant *H. pylori* strain NCTC11637 as described by P. Nedenskov-Sorensen (1990, J. Infect. Dis. 161: 365-366).

All *H. pylori* strains were grown at 37°C in a microaerobic atmosphere (5% O₂, 85% N₂, and 10% CO₂) on serum plates (Odenbreit, S. et al. 1996. J. Bacteriol. 178:6960-6967) supplemented with 200 µg/ml of streptomycin when appropriate.

Prophylactic immunization experiments with mice.

Immunization experiments were carried out to test the ability of UreA and B delivered by *Salmonella* to protect mice from stomach colonization by *H. pylori*. In total, 5 independent immunisation experiments have been performed. Each experiment consisted of 5 groups each with 5 mice: (1) naive control group was mice neither immunized with *Salmonella* nor challenged with wild type *H. pylori* P49 or the streptomycin resistant derivative strain P76; (2) PBS control group was non-immunized mice that received PBS and were challenged

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orally with *H. pylori*; (3) *Salmonella* control group was mice immunized with attenuated *S. typhimurium* SL3261 strain alone and challenged with *H. pylori*; and (5) the vaccine group was the mice immunized with appropriate recombinant *S. typhimurium* construct (A + B) expressing UreA and UreB and challenged with *H. pylori*.

Prior to immunizations, mice were left overnight without solid food and 4 hours without water. 100 μ l of 3% sodium bicarbonate were given orally using a stainless steel catheter tube to neutralize the stomach pH. Immediately after stomach neutralization, mice from the PBS control group received 100 μ l PBS, and mice from the *Salmonella* control group and *Salmonella* vaccine group, received 5.0×10^9 CFU of *S. typhimurium* strain SL3261 and the various recombinant constructs, respectively, in a total volume of 100 μ l. Water and food were returned to the mice after immunization.

Four weeks after the oral immunization, mice from the PBS control-, *Salmonella* control- and vaccine-groups were challenged with 1.0×10^9 CFU of *H. pylori*. Mice were left overnight without solid food and without water 4 hours prior to the challenge. 100 μ l of 3% sodium bicarbonate were given orally to mice using a stainless steel catheter tube, followed by an oral dose of 1.0×10^9 CFU/ml of *H. pylori* strains P49 or P76. Water and food were returned to mice after challenge.

Example 5

Immunological analyses of protection experiments with the various recombinant *S. typhimurium* strains expressing ureA/ureB subunits in *H. pylori* mouse model

Collection of blood and intestinal fluid from mice for serological analyses.

Antibody responses were evaluated from all mice using serum and intestinal fluid. 150 μ l blood were collected retro-orbitally before immunization and three weeks after immunization, before *Helicobacter* infection. The final bleeding was carried out 11 weeks after *Salmonella*

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immunization (6 weeks after challenge infection) by terminal cardiac puncture under metoxyfluorane anesthesia. The small intestines were taken from mice at the end of experiment and processed as described before (Elson, C. O. et al 1984. J. Immunol. Meth. 67:101-108) with minor modifications. Briefly, the content of intestines was removed by passing 2 ml of 50mM EDTA pH 7.5 (Riedel) containing 0,1mg/ml Soybean trypsin inhibitor (Sigma). The volume was adjusted to 5 ml with 0.15 M NaCl. The samples were vortexed vigorously, centrifuged 10 min at 2,500 rpm (Heraeus, Germany), and supernatant supplemented with 50 μ l of 100 mM phenylmethylsulfonylfluoride (PMSF) (Serva) in 95% ethanol, followed by centrifugation at 13,000rpm for 20 min at 4°C (Hermes). Supernatants were supplemented with 50 μ l of 100 mM PMSF and 50 μ l of 2% sodium azide (Merck) and left on ice 15 min before addition of 250 μ l of 7% bovine serum albumine (Biomol). The samples were frozen at -20°C until further use.

Analysis of anti-urease antibodies in mouse sera and intestinal mucosa by ELISA.

Oral immunization with *Salmonella* is known to elicit IgA antibody responses. The IgA response against urease subunits in mice immunized with *S. typhimurium* construct A + B and in control mice was assessed by ELISA. A soluble extract of *H. pylori* P1 and its urease-deficient mutant derivative strain P11 was prepared in phosphate-buffer-saline by sonicating five times with a sonifier (Branson, Danbury, Conn.) at 5 sec intervals (35 % pulses) for 45 sec. This suspension was centrifuged at 13,000 rpm (Heraeus, Germany) for 10 min at 4°C to remove intact cells. The supernatant was used as antigen after determination of the protein content using the BioRad kit. 96-well microtiter plates (Nunc, Germany) were coated with 50 μ l aliquot of 50 μ g/ml of antigen in sodium carbonate-bicarbonate buffer pH 9.6 and incubated overnight at 4°C. The wells were blocked with 1.0 (w/v)% non-fat milk in Tris-buffer-saline (TBS) for 45 min at room temperature and washed three times with TBS-0.05% Tween-20. The assays, which were performed in triplicate, used 50 μ l of serum or gut washing

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diluted 1:100 or 1:2 respectively in 0.5 (w/v)% non-fat milk-TBS added to the wells and left overnight at 4°C. The wells were then washed three times with TBS-0.05% Tween 20, and a 1:3000 dilution of a goat anti-mouse IgA horse-radish peroxidase-conjugate (Sigma) was added to all wells and incubated overnight at 4°C. The color reaction was developed by incubation at 37°C for 30 min with an orthophenyldiamine substrate in sodium acetate buffer and hydrogen peroxide. The reaction was stopped with 10 N H₂SO₄ and the A₄₉₂ was determined in an ELISA reader (Digiscan, Asys Hitech GmbH, Austria).

Mucosal antibodies: (Construct A) Intestinal fluid was taken from each sacrificed mouse at the end of the experiment (six weeks after the *H. pylori* challenge) and tested for the presence of anti-urease antibodies by using total cell extracts of *H. pylori* wild type (P1) and urease deficient mutant strains (P11). As shown in Fig. 4, the IgA antibody response against the wild type *H. pylori* extract was around 10-fold higher in immunized mice versus non-immunized or naive mice. The mucosal IgA antibody response against the urease-deficient *H. pylori* mutant was very low in all groups of mice indicating that most of the intestinal IgA antibody response in immunized mice was directed against urease.

Serum antibodies: (Construct A) The levels of serum IgA antibodies against a wild type and an urease-deficient *H. pylori* were examined prior to immunization, 3 weeks after immunization (before challenge) and 10 weeks after immunization (6 weeks after challenge with *H. pylori*). As shown in Fig. 5 panel A, the levels of anti-wild type *H. pylori* antibodies in mice immunized with urease-expressing *S. typhimurium* construct A were ~20-fold higher at three weeks and 34-fold higher ten weeks after immunization with respect to the pre-immune serum. The serum IgA antibody response against the urease-deficient *H. pylori* strain at 3 and 10 weeks was low in all groups of mice including the mice immunized with *Salmonella* construct A (Fig. 5, panel B), indicating that most of the IgA antibody response in immunized mice is directed against the urease subunits. Low serum

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antibody responses against wild type *H. pylori* were also observed at ten weeks in non-immunized mice suggesting that the *H. pylori* challenge given three weeks earlier was enough to induce a specific antibody response in these mice. The IgA response to wild type *H. pylori* in mice immunized for three weeks with *S. typhimurium* SL3261 (*Salmonella* control group) increased moderately, which may be explained by the presence of antigens in *Salmonella* that are able to induce cross-reacting antibodies against *H. pylori*. In contrast, the antibody response against the urease-deficient *H. pylori* strain in immunized mice was as low as the antibody response of non-immunized mice (Fig 5, panel B). This result suggests that most of the antibody response observed in immunized mice was against urease. Low antibody response against the urease-negative mutant was observed in the 10 weeks sera from mice given PBS or immunized with *S. typhimurium* SL3261, suggesting that the antibody response observed is due to the specific immune response against the *H. pylori* antigens given to these mice three weeks earlier during challenge. A low antibody response against the urease-deficient *H. pylori* strain was observed at three weeks in mice immunized with *Salmonella* either expressing or not expressing urease, but was absent in the mice given PBS. This confirms the presence of cross-reacting epitopes between proteins from *Salmonella* and *H. pylori*, respectively. (Construct B): The serological analysis of mice immunized with the construct B series achieved similar results indicating that higher production of antigen by recombinant *Salmonella* does not significantly increase antibody response.

30 Analysis of anti-urease antibodies in mouse sera by immunoblotting.

Expression of UreA and UreB from *S. typhimurium* necessary for the induction of mice specific immune response against *H. pylori* was analyzed. Identification of in vivo expression of UreA and UreB was carried out by looking for anti-UreA and anti-UreB antibodies in serum of mice immunized with *Salmonella* construct A and control mice. *H. pylori* whole-cell

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antigens were prepared from the wild type *H. pylori* strain P1. Bacteria were recovered from 3 serum plates, resuspended in PBS, and harvested by 10 min centrifugation at 5,000 g. The cell pellet was resuspended in 10mM Tris-HCl and 10 mM EDTA, (pH 8.0) and cell-density adjusted to standard $A_{590}=1.0$ in all probes. The bacterial suspension was mixed with same volume of SDS-sample buffer (Sambrook, 1989) and boiled for 5 min. 20 μ l Pellet were loaded onto a SDS-10% polyacrylamide gel. The proteins were electro-blotted onto a nitrocellulose membrane and cut into strips which were blocked for 45 min at room temperature in 10 (v/w)% non-fat milk Tris-buffer-saline (TBS) (TrisHCl 100mM, NaCl 150mM, pH 7.2). After three washes in TBS-0,05 (v/v)% Tween-20, a 1:80 dilution of mouse serum in 5 (w/v)% non-fat milk-TBS was added to the strips and incubated overnight at 4°C. Sera was obtained from mice non-immunized and immunized with *Salmonella*. After three washes, the strips were incubated with a goat anti-mouse IgG horse-radish peroxidase conjugate (Sigma) diluted 1:3000 in 5 (w/v)% non-fat milk-TBS. The ECL chemi-luminescence detection kit (Amersham, Germany) was used for development of blots according to the supplier's directions.

Serum from immunized and non-immunized mice was obtained 3 weeks after immunization prior to the challenge with *H. pylori* and tested against whole-cell lysates of the wild type *H. pylori* P1 strain expressing UreA and UreB. Proteins of 67 kDa and 30 kDa in size, corresponding to UreB and UreA, respectively, were recognized by serum from immunized mice immunized with construct A. These bands were not observed in strips tested with serum from non-immunized mice or mice immunized with *Salmonella* only, suggesting that urease expressed by the *Salmonella* vaccine strain was able to induce a specific antibody response against both UreA and UreB of a wild type *H. pylori* strain. Similar results were obtained with construct B.

35

Example 6

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Determination of *H. pylori* colonisation in mice pretreated with the various recombinant *S. typhimurium* strains expressing ureA/ureB subunits in *H. pylori* mouse model

Processing of stomach and measurement of urease activity.

5 Urease-test: Analysis of protection against stomach colonization by *H. pylori* was performed by testing for urease activity in the antral portion of the mouse stomach. Measurement of urease activity is a very reliable, sensitive and specific method to test for the presence of *H. pylori*

10 infection (NIH consensus development on *Helicobacter pylori* in peptic ulcer disease. 1994. *Helicobacter pylori* in peptic ulcer disease. JAMA. 272:65) and is routinely used in clinical settings (Kawanishi, M., S. et al 1995. J. Gastroenterol. 30:16-20; Kamiya, S. et al 1993. Eur. J. Epidemiol. 9:450-452;

15 Conti-Nibali, S. et al 1990. Am. J. Gastroenterol. 85:1573-1575) and in animal research (Gottfried, M. R. et al 1990. Am. J. Gastroenterol. 85:813-818). The Jatrox-test (Röhn-Pharma GmbH, Weiterstadt, Germany) was used according to the suppliers directions. Eleven weeks after immunization with

20 *Salmonella*, mice were sacrificed and the stomach was carefully removed under aseptic conditions. The stomach was placed in ice-cold PBS in an sterile container, and the mucosa was exposed by making an incision along the minor curvature with a sterile blade. The stomach was rinsed with PBS to remove food

25 residues and dissected to isolate the antral region from the corpus region. Half of the antral portion of the stomach was immediately placed inside an Eppendorf tube containing 500 μ l of the urease substrate from Jatrox-test. The stomach sample was incubated 4 h at room temperature and the absorbance at

30 550 nm (A_{550}) measured. The urease activity values obtained from the stomach of naive mice, which did not undergo immunization or challenge, were used to determine the baseline. The baseline corresponded to the average urease activity value from five naive mice stomachs tested plus two times the

35 standard deviation of this average. Urease activity values higher than the baseline were considered *H. pylori* colonization positive and values below the baseline were

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considered *H. pylori* colonization negative.

Cultivation experiment: The left portion of the antral region of stomachs obtained from mice challenged with the streptomycin resistant *H. pylori* strain P76 were plated on serum plates supplemented with 200 µg/ml of streptomycin and incubated under standard conditions. After three days incubation, bacteria were identified as *H. pylori* based on colony morphology, microscopic examination, and urease activity. The number of colony forming units (CFU) of *H. pylori* grown on plates was determined from each mouse stomach sample.

Urease test (Construct A vs. B): Mice immunized with ~5.0X10⁹ CFU of *Salmonella* and challenged with 1.0X10⁹ CFU of *H. pylori* strain P49, as well as control mice, were sacrificed under anesthesia and a section of the antral region of the stomach was taken for measurement of urease activity. As shown in Fig. 6, 100% of the mice immunized with UreA and B delivered by *Salmonella* construct A had urease activity below the baseline, indicating the absence of *H. pylori* colonisation. In contrast, 100 % of the non-immunized mice (PBS) and the mice immunized with *S. typhimurium* strain SL3261 alone, had urease activity measurements far above the baseline indicating stomach colonization by *H. pylori*. The naive group of mice, which did not undergo immunization or challenge, was used to set the baseline of urease activity.

Salmonella of the construct B-series had urease activity values above the baseline indicating stomach colonization by *H. pylori* challenge strain. However, the urease activities within this group were lower as in the controls suggesting a partial protection status of mice immunized with the *Salmonella* construct B series (Figure 6). Both *Salmonella* constructs, A and B, mediate similar antibody response but differed in expression of ureA and ureB. We conclude from this that the quantity of expressed urease antigen is relevant to gain optimal protection.

Construct A: To correlate stomach colonization by *H. pylori* with urease activity a new protection experiment was

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performed by immunizing mice orally with *Salmonella* construct A and challenging them with the streptomycin resistant *H. pylori* P76 strain. Urease activity values correlated with the number of CFU of *H. pylori* identified. In two of the five mice
5 immunized with urease-expressing *Salmonella*, no *H. pylori* CFU were detected and the average number of CFU in all five immunized mice was only 62. In contrast, the number of CFU in non-immunized mice was 2,737, which corresponds to 44-fold higher colonization. These data indicate that mice immunized
10 with urease-expressing *Salmonella* were able to eliminate or significantly decrease colonizing *H. pylori* from mouse stomachs.

SEQUENCE LISTING

(1) GENERAL INFORMATION:

(i) APPLICANT:

- (A) NAME: Max-Planck-Gesellschaft zur Foerderung der
Wissenschaften e.V. Berlin
- (B) STREET: Hofgartenstr. 2
- (C) CITY: Muenchen
- (E) COUNTRY: Germany
- (F) POSTAL CODE (ZIP): 80539

(ii) TITLE OF INVENTION: Helicobacter pylori live vaccine

(iii) NUMBER OF SEQUENCES: 6

(iv) COMPUTER READABLE FORM:

- (A) MEDIUM TYPE: Floppy disk
- (B) COMPUTER: IBM PC compatible
- (C) OPERATING SYSTEM: PC-DOS/MS-DOS
- (D) SOFTWARE: PatentIn Release #1.0, Version #1.30

(EPO)

(2) INFORMATION FOR SEQ ID NO: 1:

(i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 1557 base pairs
- (B) TYPE: nucleic acid
- (C) STRANDEDNESS: both
- (D) TOPOLOGY: linear

(ii) MOLECULE TYPE: DNA (genomic)

(vi) ORIGINAL SOURCE:

- (A) ORGANISM: Helicobacter pylori

(vii) IMMEDIATE SOURCE:

- (B) CLONE: alpB

(ix) FEATURE:

- (A) NAME/KEY: CDS
- (B) LOCATION:1..1554

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(i) SEQUENCE CHARACTERISTICS:

(A) LENGTH: 518 amino acids
 (B) TYPE: amino acid
 (D) TOPOLOGY: linear

(ii) MOLECULE TYPE: protein

(xi) SEQUENCE DESCRIPTION: SEQ ID NO: 2:

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(2) INFORMATION FOR SEQ ID NO: 3:

(i) SEQUENCE CHARACTERISTICS:

(A) LENGTH: 1557 base pairs
 (B) TYPE: nucleic acid
 (C) STRANDEDNESS: both
 (D) TOPOLOGY: linear

(ii) MOLECULE TYPE: DNA (genomic)

(vi) ORIGINAL SOURCE:

(A) ORGANISM: *Helicobacter pylori*

(vii) IMMEDIATE SOURCE:

(B) CLONE: alpA

(ix) FEATURE:

(A) NAME/KEY: CDS

(B) LOCATION: 1..1554

(xi) SEQUENCE DESCRIPTION: SEQ ID NO: 3:

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							525								530	
AGC	ATA	AGT	TAT	GCC	GAA	GAT	GAT	GGA	GGG	TTT	TTC	ACC	GTC	GGT	TAT	96
Ser	Ile	Ser	Tyr	Ala	Glu	Asp	Asp	Gly	Gly	Phe	Phe	Thr	Val	Gly	Tyr	535
					540					545					550	
CAG	CTC	GGG	CAA	GTC	ATG	CAA	GAT	GTC	CAA	AAC	CCA	GGC	GGC	GCT	AAA	144
Gln	Léu	Gly	Gln	Val	Met	Gln	Asp	Val	Gln	Asn	Pro	Gly	Gly	Ala	Lys	555
									560					565		
AGC	GAC	GAA	CTC	GCC	AGA	GAG	CTT	AAC	GCT	GAT	GTA	ACG	AAC	AAC	ATT	192
Ser	Asp	Glu	Leu	Ala	Arg	Glu	Leu	Asn	Ala	Asp	Val	Thr	Asn	Asn	Ile	570
								575						580		
TTA	AAC	AAC	AAC	ACC	GGA	GGC	AAC	ATC	GCA	GGG	GCG	TTG	AGT	AAC	GCT	240
Leu	Asn	Asn	Asn	Thr	Gly	Gly	Asn	Ile	Ala	Gly	Ala	Leu	Ser	Asn	Ala	585
							590					595				
TTC	TCC	CAA	TAC	CTT	TAT	TCG	CTT	TTA	GGG	GCT	TAC	CCC	ACA	AAA	CTC	288
Phe	Ser	Gln	Tyr	Leu	Tyr	Ser	Leu	Leu	Gly	Ala	Tyr	Pro	Thr	Lys	Leu	600
							605				610					
AAT	GGT	AGC	GAT	GTG	TCT	GCG	AAC	GCT	CTT	TTA	AGT	GGT	GCG	GTA	GGC	336
Asn	Gly	Ser	Asp	Val	Ser	Ala	Asn	Ala	Leu	Leu	Ser	Gly	Ala	Val	Gly	615
					620					625					630	

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 384
 Ser Gly Thr Cys Ala Ala Ala Gly Thr Ala Gly Gly Thr Ser Leu Asn
 635 640 645

ACT CAA AGC ACT TGC ACC GTT GCG GGC TAT TAC TGG CTC CCT AGC TTG
 432
 Thr Gln Ser Thr Cys Thr Val Ala Gly Tyr Tyr Trp Leu Pro Ser Leu
 650 655 660

ACT GAC AGG ATT TTA AGC ACG ATC GGC AGC CAG ACT AAC TAC GGC ACG
 480
 Thr Asp Arg Ile Leu Ser Thr Ile Gly Ser Gln Thr Asn Tyr Gly Thr
 665 670 675

AAC ACC AAT TTC CCC AAC ATG CAA CAA CAG CTC ACC TAC TTG AAT GCG
 528
 Asn Thr Asn Phe Pro Asn Met Gln Gln Gln Leu Thr Tyr Leu Asn Ala
 680 685 690

GGG AAT GTG TTT TTT AAT GCG ATG AAT AAG GCT TTA GAG AAT AAG AAT
 576
 Gly Asn Val Phe Phe Asn Ala Met Asn Lys Ala Leu Glu Asn Lys Asn
 695 700 705 710

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 624
 Gly Thr Ser Ser Ala Ser Gly Thr Ser Gly Ala Thr Gly Ser Asp Gly
 715 720 725

CAA ACT TAC TCC ACA CAA GCT ATC CAA TAC CTT CAA GGC CAA CAA AAT
 672
 Gln Thr Tyr Ser Thr Gln Ala Ile Gln Tyr Leu Gln Gly Gln Gln Asn
 730 735 740

ATC TTA AAT AAC GCA GCG AAC TTG CTC AAG CAA GAT GAA TTG CTC TTA
 720
 Ile Leu Asn Asn Ala Ala Asn Leu Leu Lys Gln Asp Glu Leu Leu Leu
 745 750 755

GAA GCT TTC AAC TCT GCC GTA GCC GCC AAC ATT GGG AAT AAG GAA TTC
 768
 Glu Ala Phe Asn Ser Ala Val Ala Ala Asn Ile Gly Asn Lys Glu Phe
 760 765 770

AAT TCA GCC GCT TTT ACA GGT TTG GTG CAA GGC ATT ATT GAT CAA TCT
 816
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 795 800 805

GTT ATT AGC GCT GGG ATA AAC TCC AAC CAA GCT AAC GCT GTG CAA GGG
 912
 Val Ile Ser Ala Gly Ile Asn Ser Asn Gln Ala Asn Ala Val Gln Gly
 810 815 820

CGC GCT AGT CAG CTC CCT AAC GCT CTT TAT AAC GCG CAA GTA ACT TTG
 960
 Arg Ala Ser Gln Leu Pro Asn Ala Leu Tyr Asn Ala Gln Val Thr Leu
 825 830 835

GAT AAA ATC AAT GCG CTC AAT AAT CAA GTG AGA AGC ATG CCT TAC TTG
 1008
 Asp Lys Ile Asn Ala Leu Asn Asn Gln Val Arg Ser Met Pro Tyr Leu
 840 845 850

CCC CAA TTC AGA GCC GGG AAC AGC CGT TCA ACG AAT ATT TTA AAC GGG
 1056
 Pro Gln Phe Arg Ala Gly Asn Ser Arg Ser Thr Asn Ile Leu Asn Gly
 855 860 865 870

TTT TAC ACC AAA ATA GGC TAT AAG CAA TTC TTC GGG AAG AAA AGG AAT
 1104
 Phe Tyr Thr Lys Ile Gly Tyr Lys Gln Phe Phe Gly Lys Lys Arg Asn
 875 880 885

ATC GGT TTG CGC TAT TAT GGT TTC TTT TCT TAT AAC GGA GCG AGC GTG
 1152
 Ile Gly Leu Arg Tyr Tyr Gly Phe Phe Ser Tyr Asn Gly Ala Ser Val
 890 895 900

GGC TTT AGA TCC ACT CAA AAT AAT GTA GGG TTA TAC ACT TAT GGG GTG
 1200
 Gly Phe Arg Ser Thr Gln Asn Asn Val Gly Leu Tyr Thr Tyr Gly Val
 905 910 915

GGG ACT GAT GTG TTG TAT AAC ATC TTT AGC CGC TCC TAT CAA AAC CGC
 1248
 Gly Thr Asp Val Leu Tyr Asn Ile Phe Ser Arg Ser Tyr Gln Asn Arg
 920 925 930

TCT GTG GAT ATG GGC TTT TTT AGC GGT ATC CAA TTA GCC GGT GAG ACC
 1296
 Ser Val Asp Met Gly Phe Phe Ser Gly Ile Gln Leu Ala Gly Glu Thr
 935 940 945 950

TTC CAA TCC ACG CTC AGA GAT GAC CCC AAT GTG AAA TTG CAT GGG AAA
 1344
 Phe Gln Ser Thr Leu Arg Asp Asp Pro Asn Val Lys Leu His Gly Lys
 955 960 965

ATC AAT AAC ACG CAC TTC CAG TTC CTC TTT GAC TTC GGT ATG AGG ATG
 1392
 Ile Asn Asn Thr His Phe Gln Phe Leu Phe Asp Phe Gly Met Arg Met
 970 975 980

AAC TTC GGT AAG TTG GAC GGG AAA TCC AAC CGC CAC AAC CAG CAC ACG
 1440
 Asn Phe Gly Lys Leu Asp Gly Lys Ser Asn Arg His Asn Gln His Thr
 985 990 995
 GTG GAA TTT GGC GTA GTG GTG CCT ACG ATT TAT AAC ACT TAT TAC AAA
 1488
 Val Glu Phe Gly Val Val Val Pro Thr Ile Tyr Asn Thr Tyr Tyr Lys
 1000 1005 1010
 TCA GCA GGG ACT ACC GTG AAG TAT TTC CGT CCT TAT AGC GTT TAT TGG
 1536
 Ser Ala Gly Thr Thr Val Lys Tyr Phe Arg Pro Tyr Ser Val Tyr Trp
 1015 1020 1025 1030
 TCT TAT GGG TAT TCA TTC TAA
 1557
 Ser Tyr Gly Tyr Ser Phe
 1035

(2) INFORMATION FOR SEQ ID NO: 4:

(i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 518 amino acids
- (B) TYPE: amino acid
- (D) TOPOLOGY: linear

(ii) MOLECULE TYPE: protein

(xi) SEQUENCE DESCRIPTION: SEQ ID NO: 4:

Met Ile Lys Lys Asn Arg Thr Leu Phe Leu Ser Leu Ala Leu Cys Ala
 1 5 10 15
 Ser Ile Ser Tyr Ala Glu Asp Asp Gly Gly Phe Phe Thr Val Gly Tyr
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 Gln Leu Gly Gln Val Met Gln Asp Val Gln Asn Pro Gly Gly Ala Lys
 35 40 45
 Ser Asp Glu Leu Ala Arg Glu Leu Asn Ala Asp Val Thr Asn Asn Ile
 50 55 60
 Leu Asn Asn Asn Thr Gly Gly Asn Ile Ala Gly Ala Leu Ser Asn Ala
 65 70 75 80
 Phe Ser Gln Tyr Leu Tyr Ser Leu Leu Gly Ala Tyr Pro Thr Lys Leu
 85 90 95
 Asn Gly Ser Asp Val Ser Ala Asn Ala Leu Leu Ser Gly Ala Val Gly
 100 105 110
 Ser Gly Thr Cys Ala Ala Ala Gly Thr Ala Gly Gly Thr Ser Leu Asn
 115 120 125

- 46 -

Thr	Gln	Ser	Thr	Cys	Thr	Val	Ala	Gly	Tyr	Tyr	Trp	Leu	Pro	Ser	Leu
130						135					140				
Thr	Asp	Arg	Ile	Leu	Ser	Thr	Ile	Gly	Ser	Gln	Thr	Asn	Tyr	Gly	Thr
145					150					155					160
Asn	Thr	Asn	Phe	Pro	Asn	Met	Gln	Gln	Gln	Leu	Thr	Tyr	Leu	Asn	Ala
				165						170				175	
Gly	Asn	Val	Phe	Phe	Asn	Ala	Met	Asn	Lys	Ala	Leu	Glu	Asn	Lys	Asn
			180					185					190		
Gly	Thr	Ser	Ser	Ala	Ser	Gly	Thr	Ser	Gly	Ala	Thr	Gly	Ser	Asp	Gly
		195					200					205			
Gln	Thr	Tyr	Ser	Thr	Gln	Ala	Ile	Gln	Tyr	Leu	Gln	Gly	Gln	Gln	Asn
						215					220				
Ile	Leu	Asn	Asn	Ala	Ala	Asn	Leu	Leu	Lys	Gln	Asp	Glu	Leu	Leu	Leu
225					230					235					240
Glu	Ala	Phe	Asn	Ser	Ala	Val	Ala	Ala	Asn	Ile	Gly	Asn	Lys	Glu	Phe
				245					250					255	
Asn	Ser	Ala	Ala	Phe	Thr	Gly	Leu	Val	Gln	Gly	Ile	Ile	Asp	Gln	Ser
			260					265					270		
Gln	Ala	Val	Tyr	Asn	Glu	Leu	Thr	Lys	Asn	Thr	Ile	Ser	Gly	Ser	Ala
		275					280					285			
Val	Ile	Ser	Ala	Gly	Ile	Asn	Ser	Asn	Gln	Ala	Asn	Ala	Val	Gln	Gly
						295					300				
Arg	Ala	Ser	Gln	Leu	Pro	Asn	Ala	Leu	Tyr	Asn	Ala	Gln	Val	Thr	Leu
305					310					315					320
Asp	Lys	Ile	Asn	Ala	Leu	Asn	Asn	Gln	Val	Arg	Ser	Met	Pro	Tyr	Leu
				325					330				335		
Pro	Gln	Phe	Arg	Ala	Gly	Asn	Ser	Arg	Ser	Thr	Asn	Ile	Leu	Asn	Gly
			340					345					350		
Phe	Tyr	Thr	Lys	Ile	Gly	Tyr	Lys	Gln	Phe	Phe	Gly	Lys	Lys	Arg	Asn
		355					360					365			
Ile	Gly	Leu	Arg	Tyr	Tyr	Gly	Phe	Phe	Ser	Tyr	Asn	Gly	Ala	Ser	Val
						375					380				
Gly	Phe	Arg	Ser	Thr	Gln	Asn	Asn	Val	Gly	Leu	Tyr	Thr	Tyr	Gly	Val
385					390					395					400
Gly	Thr	Asp	Val	Leu	Tyr	Asn	Ile	Phe	Ser	Arg	Ser	Tyr	Gln	Asn	Arg
				405					410					415	

Ser Val Asp Met Gly Phe Phe Ser Gly Ile Gln Leu Ala Gly Glu Thr
 420 425 430
 Phe Gln Ser Thr Leu Arg Asp Asp Pro Asn Val Lys Leu His Gly Lys
 435 440 445
 Ile Asn Asn Thr His Phe Gln Phe Leu Phe Asp Phe Gly Met Arg Met
 450 455 460
 Asn Phe Gly Lys Leu Asp Gly Lys Ser Asn Arg His Asn Gln His Thr
 465 470 475 480
 Val Glu Phe Gly Val Val Val Pro Thr Ile Tyr Asn Thr Tyr Tyr Lys
 485 490 495
 Ser Ala Gly Thr Thr Val Lys Tyr Phe Arg Pro Tyr Ser Val Tyr Trp
 500 505 510
 Ser Tyr Gly Tyr Ser Phe
 515

(2) INFORMATION FOR SEQ ID NO: 5:

(i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 656 base pairs
- (B) TYPE: nucleic acid
- (C) STRANDEDNESS: double
- (D) TOPOLOGY: both

(ix) FEATURE:

- (A) NAME/KEY: CDS
- (B) LOCATION: 567..656

(xi) SEQUENCE DESCRIPTION: SEQ ID NO: 5:

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 120
 GTTTGTCGGT GAACGCTCTC CTGAGTAGGA CAAATCCGCC GGGAGCGGAT TTGAACGTTG
 180
 CGAAGCAACG GCCCGGAGGG TGGCGGGCAG GACGCCCGCC ATAAACTGCC ACAAGCTCGG
 240
 TACCGTTGAT CTTCTATGG TGCACCTCTCA GTACAATCTG CTCTGATGCG CTACGTGACT
 300
 GGGTCATGGC TGCGCCCCGA CACCCGCCAA CACCCGCTGA CGCGCCCTGA CGGGCTTGTC

360

TGCTCCCGGC ATCCGCTTAC AGACAAGCTG TGACCGTCTC CGGGAGCTGC ATGTGTCAGA
420

GGTTTTTCACC GTCATCACCG AAACGCGCGA GGCCCAGCGC TTCGAACTTC TGATAGACTT
480

CGAAATTAAT ACGACTCACT ATAGGGAGAC CACAACGGTT TCCCTCTAGA AATAATTTTG
540

TTTAACTTTA AGAAGGAGAT ATACAT ATG AAA CTG ACT CCC AAA GAG TTA GAC
593

Met Lys Leu Thr Pro Lys Glu Leu Asp

520

525

AAG TTG ATG CTC CAC TAC GCT GGA GAA TTG GCT AAA AAA CGC AAA GAA
641

Lys Leu Met Leu His Tyr Ala Gly Glu Leu Ala Lys Lys Arg Lys Glu
530 535 540

AAA GGC ATT AAG CTT
656

Lys Gly Ile Lys Leu
545

(2) INFORMATION FOR SEQ ID NO: 6:

(i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 30 amino acids
- (B) TYPE: amino acid
- (D) TOPOLOGY: linear

(ii) MOLECULE TYPE: protein

(xi) SEQUENCE DESCRIPTION: SEQ ID NO: 6:

Met Lys Leu Thr Pro Lys Glu Leu Asp Lys Leu Met Leu His Tyr Ala
1 5 10 15

Gly Glu Leu Ala Lys Lys Arg Lys Glu Lys Gly Ile Lys Leu
20 25 30

New Claims

1. Pharmaceutical composition comprising as an active agent an immunologically protective living vaccine which is a recombinant attenuated bacterium which comprises at least one heterologous nucleic acid molecule encoding a Helicobacter antigen, wherein said pathogen is capable of expressing said nucleic acid molecule or capable of causing the expression of said nucleic acid molecule in a target cell.

AMENDED SHEET

Claims

1. ~~Pharmaceutical composition comprising as an active agent an immunologically protective living vaccine which is a recombinant attenuated microbial pathogen, which comprises at least one heterologous nucleic acid molecule encoding a Helicobacter antigen, wherein said pathogen is capable of expressing said nucleic acid molecule or capable of causing the expression of said nucleic acid molecule in a target cell.~~
2. The composition according to claim 1, wherein the pathogen is an enterobacterial cell, especially a Salmonella cell.
3. The composition according to claim 1 or 2, wherein the pathogen is a Salmonella aro mutant cell.
4. The composition according to any of claims 1-3, wherein the Helicobacter antigen is urease, a urease subunit, an immunologically reactive fragment thereof, or a peptide mimotope thereof.
5. The composition according to any one of claims 1-3, wherein the Helicobacter antigen is a secretory polypeptide from Helicobacter, an immunologically reactive fragment thereof, or a peptide mimotope thereof.
6. The composition according to any one of claims 1-3 and 5, wherein the Helicobacter antigen is selected from the group consisting of the antigens AlpA, AlpB, immunologically reactive fragments thereof, or a peptide mimotope thereof.
7. The composition according to any one of claims 1-6, wherein said nucleic acid molecule encoding a Helicobacter antigen is capable to be expressed phase variably.
8. The composition according to claim 7,

wherein said nucleic acid molecule encoding the Helicobacter antigen is under control of an expression signal which is substantially inactive in the pathogen and which is capable to be activated by a nucleic acid reorganization caused by a nucleic acid reorganization mechanism in the pathogen.

9. The composition according to claim 8,
wherein the expression signal is a bacteriophage promoter, and the activation is caused by a DNA reorganization resulting in the production of a corresponding bacteriophage RNA polymerase in the pathogen.
10. The composition according to any one of claims 1-9, wherein said pathogen further comprises at least one second nucleic acid molecule encoding an immunomodulatory polypeptide, wherein said pathogen is capable to express said second nucleic acid molecule.
11. The composition according to any one of claims 1-10, together with pharmaceutically acceptable diluents, carriers and adjuvants.
12. The composition according to claim 11,
which is suitable for administration to a mucosal surface or via the parenteral route.
13. A method for the preparation of a living vaccine comprising formulating a pharmaceutical composition according to any one of claims 1-10 in a pharmaceutically effective amount with pharmaceutically acceptable diluents, carriers and/or adjuvants.

14. The method of claim 13 including the preparation of a recombinant attenuated pathogen comprising the steps:

- a) inserting a nucleic acid molecule encoding a Helicobacter antigen into an attenuated pathogen, wherein a recombinant attenuated pathogen is obtained, which is capable of expressing said nucleic acid molecule or is capable to cause expression of said nucleic acid molecule in a target cell, and
- b) cultivating said recombinant attenuated pathogen under suitable conditions.

15. The method according to claim 14, wherein said nucleic acid molecule encoding a Helicobacter antigen is located on an extrachromosomal plasmid or inserted in the chromosome.

16. A method for identifying Helicobacter antigens, which raise a protective immune response in a mammalian host, comprising the steps of:

- a) providing an expression gene bank of Helicobacter in an attenuated pathogen and
- b) screening the clones of the gene bank for their ability to confer protective immunity against a Helicobacter infection in a mammalian host.

Figure 1
Genetic map of the expression plasmid pYZ97

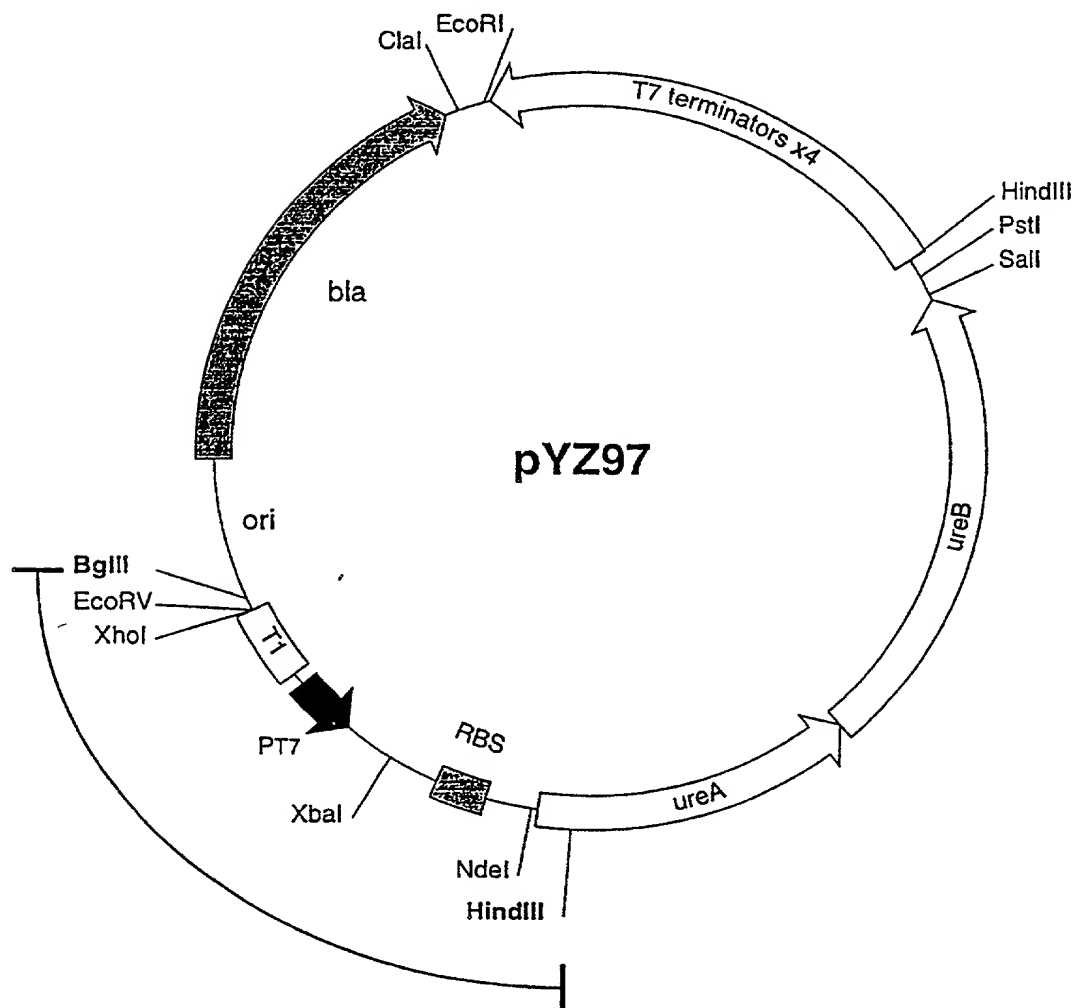


Figure 2

Nucleotide sequence of the transcriptional regulators for urease expression on
plasmid pYZ97

```

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   BglII          EcoRV          XhoI
                                rrnB T1
.....
60  TTG AGG CAT CAA ATA AAA CGA AAG GCT CAG TCG AAA GAC TGG GCC TTT CGT TTT ATC TGT
.....
120 TGT TTG TCG GTG AAC GCT CTC CTG AGT AGG ACA AAT CCG CCG GGA GCG GAT TTG AAC GTT
.....
                                -35
180 GCG AAG CAA CGG CCC GGA GGG TGG CGG GCA GGA CGC CCG CCA TAA ACT GCC ACA AGC TCG
                                -10
240 GTA CCG TTG ATC TTC CTA TGG TGC ACT CTC AGT ACA ATC TGC TCT GAT GCG CTA CGT GAC
300 TGG GTC ATG GCT GCG CCC CGA CAC CCG CCA ACA CCC GCT GAC GCG CCC TGA CGG GCT TGT
360 CTG CTC CCG GCA TCC GCT TAC AGA CAA GCT GTG ACC GTC TCC GGG AGC TGC ATG TGT CAG
420 AGG TTT TCA CCG TCA TCA CCG AAA CGC GCG AGG CCC AGC GCT TCG AAC TTC TGA TAG ACT
                                PT7
480 TCG AAA TTA ATA CGA CTC ACT ATA GGG AGA CCA CAA CGG TTT CCC TCT AGA AAT AAT TTT
                                XbaI
                                RBS                                down stream box
                                YZ019
540 GTT TAA CTT TAA GAA GGA GAT ATA CAT ATG AAA CTG ACT CCC AAA GAG TTA GAC AAG TTG
                                Met Lys Leu Thr Pro Lys Glu Leu Asp Lys Leu
600 ATG CTC CAC TAC GCT GGA GAA TTG GCT AAA AAA CGC AAA GAA AAA GGC ATT AAG CTT
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```

Figure 3

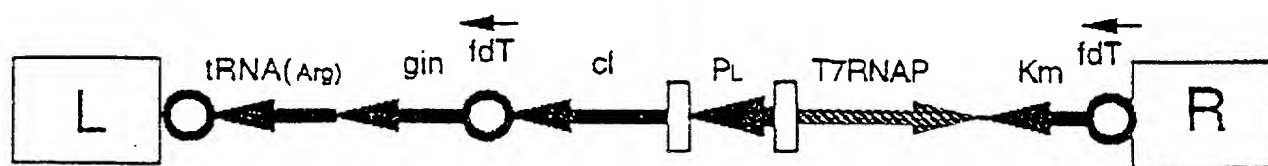
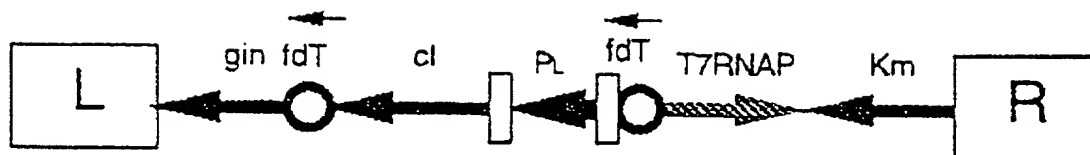
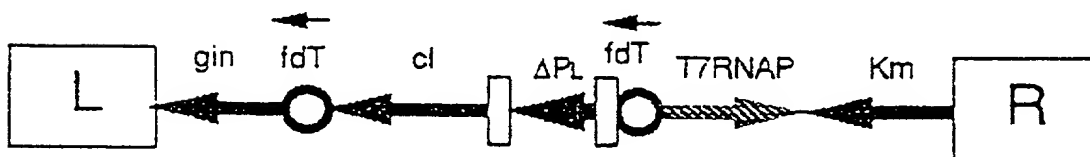
**pYZ88** (high expression)**pYZ84** (medium expression)**pYZ114** (low expression)

Figure 4

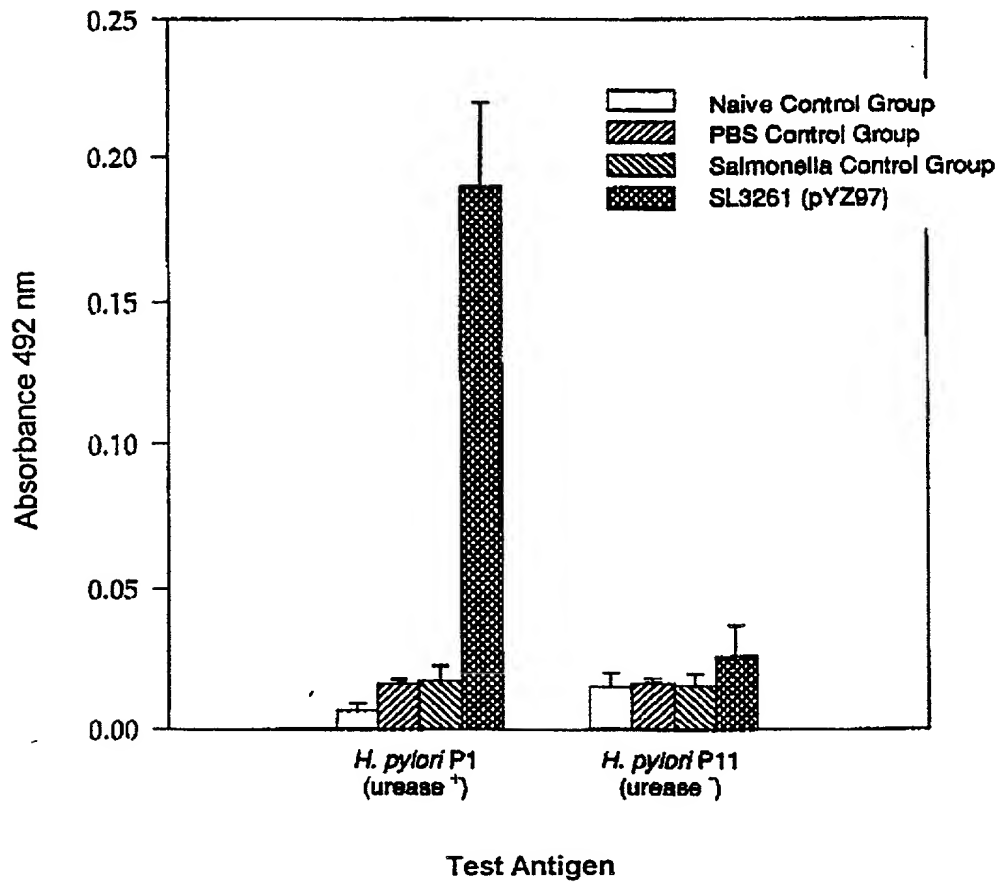
ELISA for anti-*H. pylori* IgA antibodies in intestinal fluids of vaccinated mice

Figure 5

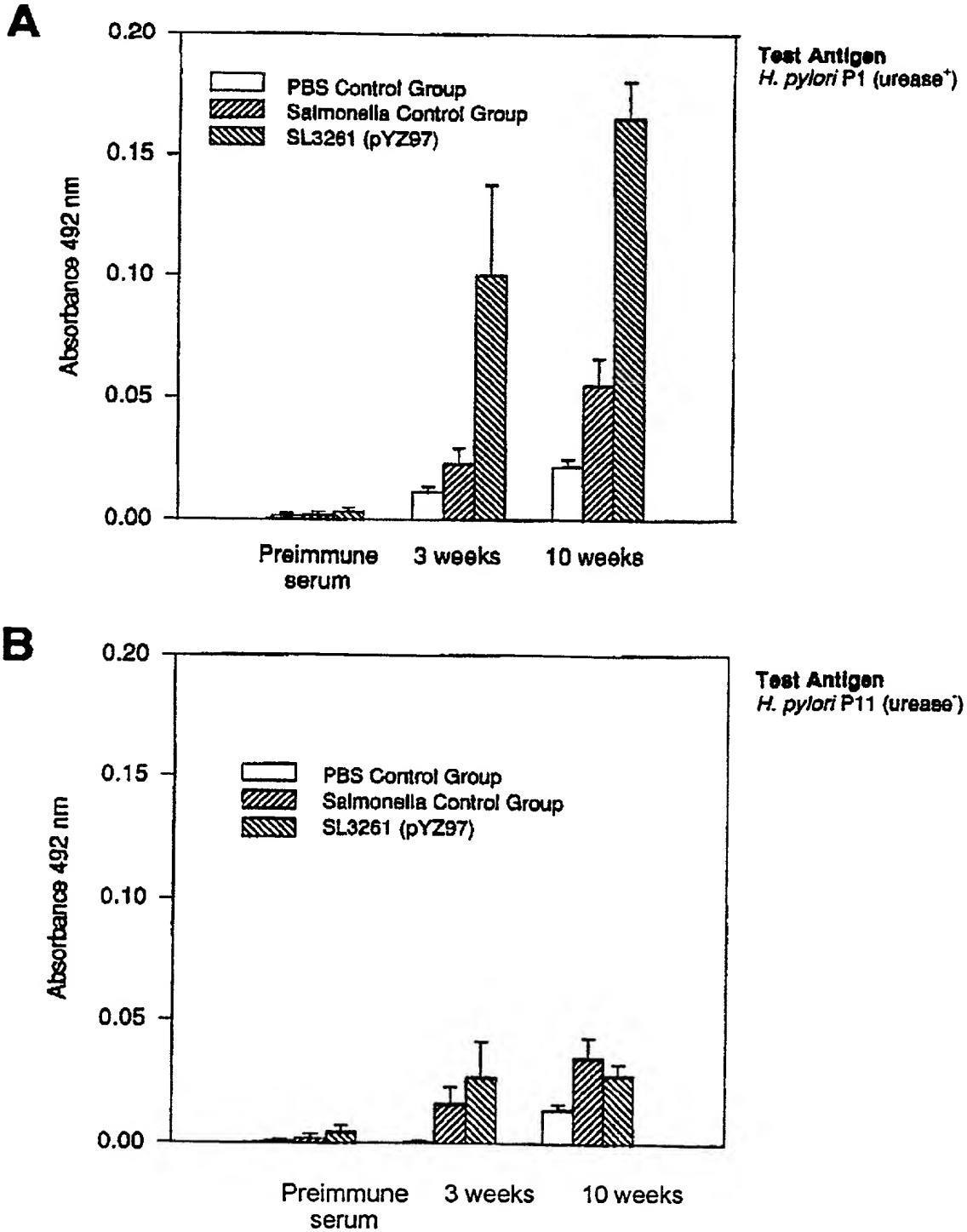
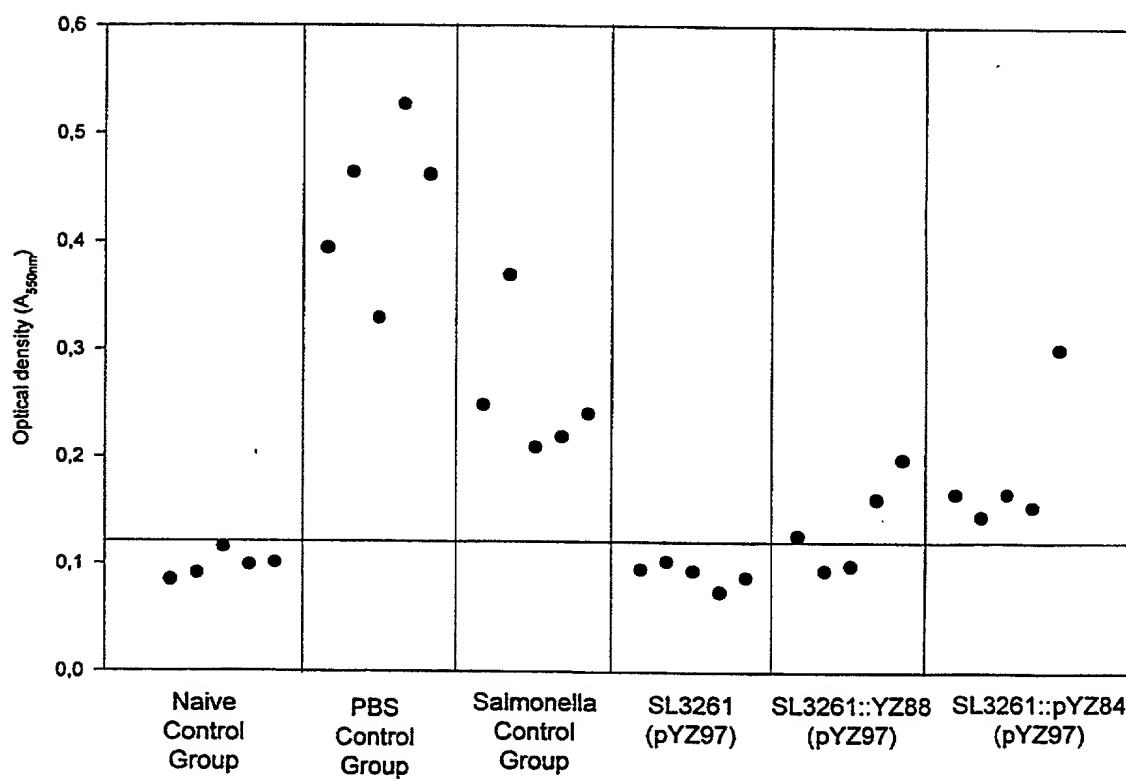
ELISA for anti-*H. pylori* IgA antibodies in serum of vaccinated mice

Figure 6

Urease activity in stomach tissue of vaccinated mice after *H. pylori* challenge.



N. M. M & O Docket No. P564-9008

NIKAIDO, MARMELESTEIN, MURRAY & ORAM LLP

Declaration For U.S. Patent Application

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

(Insert Title) **HELICOBACTER FYLORI LIVE VACCINE**

the specification of which is attached hereto unless the following box is checked:

☒ was filed on September 1, 1997 as PCT International Application Number PCT/EP97/04744 and was amended on

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claim(s), as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. §1.56.

I hereby claim foreign priority benefits under 35 U.S.C. §119(a)-(d) or §365(b) of any foreign application(s) for patent or inventor's certificate, or §365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below any foreign application for patent or inventor's certificate or PCT International Application having a filing date before that of the application(s) for which priority is claimed:

(List prior foreign applications - See note A on back of this page)	<u>96116337.5</u>	<u>EP</u>	<u>11 October 1996</u>	Priority Claimed <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
	(Number)	(Country)	(Day/Month/Year Filed)	
	<u></u>	<u></u>	<u></u>	<input type="checkbox"/> Yes <input type="checkbox"/> No
	(Number)	(Country)	(Day/Month/Year Filed)	
	<u></u>	<u></u>	<u></u>	<input type="checkbox"/> Yes <input type="checkbox"/> No
	(Number)	(Country)	(Day/Month/Year Filed)	

I hereby claim the benefit under 35 U.S.C. §119(e) of any United States provisional application(s) listed below.

<u></u>	<u></u>
(Application Number)	(Filing Date)
<u></u>	<u></u>
(Application Number)	(Filing Date)

(See Note B on back of this page)

☐ See attached list for additional prior foreign or provisional applications.

I hereby claim the benefit under 35 U.S.C. §120 of any United States application(s) or §365(c) of any PCT International application(s) designating the United States of America listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior application(s) (U.S. or PCT) in the manner provided by the first paragraph of 35 U.S.C. §112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. §1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application.

(List prior U.S. Applications or PCT International applications designating the U.S.)	<u></u>	<u></u>	<u></u>
	(Application Serial No.)	(Filing Date)	(Status) (patented, pending, abandoned)
	<u></u>	<u></u>	<u></u>
	(Application Serial No.)	(Filing Date)	(Status) (patented, pending, abandoned)

And I hereby appoint as principal attorneys: David T. Nikaido, Reg. No. 22,663; Charles M. Marmelestein, Reg. No. 25,895; George B. Oram, Jr., Reg. No. 22,931; Robert B. Murray, Reg. No. 22,980; E. Marcie Emsa, Reg. No. 32,131; Douglas H. Goldfish, Reg. No. 33,125; Monica Chin Kira, Reg. No. 36,105; Richard J. Berman, Reg. No. 39,107; King L. Wong, Reg. No. 37,400; Karen K. Costantino, Reg. No. 35,107; James A. Poulos, III, Reg. No. 31,714; Herbert C. Ross, Reg. No. 28,846; and Patrick D. Muir, Reg. No. 37,493.

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

(See Note C on back of this page)

Full name of sole or first inventor: **Thomas F. MEYER**Inventor's signature XResidence: **Spemannstrasse 30, D-72076 Tübingen, Germany**Citizenship: **German**Post Office Address: **Same as above**

Date

Declaration For U.S. Patent Application

As a below named inventor, I hereby declare that:

My residence, past office address and citizenship are as stated below my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

(Insert Title) **HELICOBACTER PYLORI LIVE VACCINE**

the specification of which is attached hereto unless the following box is checked:

☐ was filed on September 1, 1997 as PCT International Application Number PCT/EP97/04744 and was amended on _____

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claim(s), as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. §1.56.

I hereby claim foreign priority benefits under 35 U.S.C. §119(a)-(d) or §365(b) of any foreign application(s) for patent or inventor's certificate, or §365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below any foreign application for patent or inventor's certificate or PCT International Application having a filing date before that of the application(s) for which priority is claimed:

(List prior foreign applications. See note 2 on back of this page)

(Number)
(Number)
(Number)(Country)
(Country)
(Country)(Day/Month/Year Filed)
(Day/Month/Year Filed)
(Day/Month/Year Filed)Priority Claimed
a Yes ☐ No ☐
☐ Yes ☐ No
☐ Yes ☐ No

I hereby claim the benefit under 35 U.S.C. §119(e) of any United States provisional application(s) listed below.

(Application Number)
(Application Number)(Filing Date)
(Filing Date)

(See Note 3 on back of this page)

☐ See attached list for additional prior foreign or provisional applications.

I hereby claim the benefit under 35 U.S.C. §120 of any United States application(s) or §365(c) of any PCT International application(s) designating the United States of America listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior application(s) (U.S. or PCT) in the manner provided by the first paragraph of 35 U.S.C. §112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. §1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application.

(List prior U.S. Applications or PCT International applications designating the U.S.)

(Application Serial No.)
(Application Serial No.)(Filing Date)
(Filing Date)(Status) (patented, pending, abandoned)
(Status) (patented, pending, abandoned)

And I hereby appoint as principal attorney: David T. Nikaido, Reg. No. 22,663; Charles M. Marmelstein, Reg. No. 25,893; George E. Oram, Jr., Reg. No. 27,951; Robert B. Murray, Reg. No. 22,980; E. Marlene Embra, Reg. No. 32,131; Douglas H. Goldbush, Reg. No. 33,125; Monica Chen Kitis, Reg. No. 36,103; Richard J. Beniman, Reg. No. 39,107; King L. Wong, Reg. No. 37,300; Karen K. Costantino, Reg. No. 35,107; James A. Poulos, III, Reg. No. 31,714; Herbert C. Ross, Reg. No. 29,346; and Patrick D. Muir, Reg. No. 37,403

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

(See Note 4 on back of this page)

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